ABOUT THE FOUNDATION – THE FOUNDATION

The Rockefeller Foundation is a philanthropic organization endowed by John D. Rockefeller and chartered in 1913 for the well-being of people throughout the world. It is one of America's oldest private foundations and one of the few with strong international interests. From its beginning, the Foundation has sought to identify, and address at their source, the causes of human suffering and need.

In 1998 Foundation programs were organized around eight core strategies listed on the following page. Together, these strategies constituted the Foundation's commitment to help define and pursue a path toward environmentally sustainable development consistent with individual rights and a more equitable sharing of the world's resources.

The Foundation's work in 1998 was divided among the following program areas: the arts and humanities, equal opportunity, agricultural sciences, health sciences, population sciences, global environment, and special African initiatives including female education.

The balance of the Foundation's 1998 grant and fellowship programs supported work in building democracy, international security, international philanthropy, and other special interests and initiatives. While concentrating its efforts in these areas over the past year, the Foundation is in the process of adjusting its course and defining a new organizational program structure for 1999 and beyond.

The Foundation maintains the Bellagio Study and Conference Center in northern Italy for conferences of international scope and for residencies for artists, scholars and policymakers, and other professionals from around the world.

The Foundation is administered by its president through a staff working in six locations: New York City, Cairo, Nairobi, Mexico City, Bangkok and Lilongwe, Malawi. An independent board of trustees, which meets four times a year, sets program guidelines and financial policy, and approves all appropriations.
CORE PROGRAM STRATEGIES

The following are the eight core strategies around which Foundation program work was organized in 1998.

Core Program
Please be aware that the strategies described here are based on the Rockefeller Foundation's 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

AFRICAN INITIATIVES
STRATEGY: Build human capacity and institutional infrastructure in Africa with an emphasis on closing the gender gap in school enrollment and achievement

AGRICULTURAL SCIENCES
STRATEGY: Increase crop yields of smallholder farmers in developing countries profitably and without degrading natural resources.

ARTS AND HUMANITIES
STRATEGY: Understand and engage difference across changing societies through the arts and humanities.

EQUAL OPPORTUNITY
STRATEGY: Support vibrant and diverse urban communities, assisting them to gain access to the fundamental elements of opportunity including employment, good schools, freedom from discrimination and full participation in the democratic process.

GLOBAL ENVIRONMENT
STRATEGY: Build international leadership capable of initiating and carrying out innovative approaches to sustainable development.

STRATEGY: Facilitate the transition to a new energy paradigm based on sustainability, renewable resources, efficient use, economic viability and equity in access.

HEALTH SCIENCES
STRATEGY: Build human capacity for population-based health care in developing nations.

POPULATION SCIENCES
STRATEGY: Mobilize resources to satisfy unmet demand for family planning and reproductive health services.
THE PRESIDENT’S LETTER

NEARLY ONE YEAR AGO I WAS HONORED TO BE NAMED PRESIDENT OF THIS FOUNDATION. IN TAKING ON THIS ASSIGNMENT, I WAS STRUCK BY THE ENORMITY OF THE JOB: TO LEAD A GROUP OF PEOPLE DEDICATED TO "THE WELL-BEING OF MANKIND."

At the same time it seemed quite clear what the tasks ahead of me should be:

- **To create a more global foundation,**
- **To enhance the Rockefeller Foundation’s long history of serving those in most need in the United States and in the world’s poorest nations,** and
- **To build on the reputation of this Foundation as an institution that applies its knowledge and experiences in analytical ways to solve some of the world’s most difficult problems.**

In the past year, I've learned a great deal from our board, from our staff and from our grantees. As I’ve traveled and listened, a few common themes emerge: that this Foundation's past successes are greatly admired, that our new thematic programming will be a good way of organizing our work and our thinking; and that this Foundation cannot afford to overlook the impacts of globalization on the poor and excluded.

Let me explain. In order to become a truly global foundation I believe we must reorient our structure, our grantmaking and, in some ways, our internal culture. Beginning later this year, we'll start making grants around four core program themes: employment, creativity and innovation, food security, and health equity. These themes will be enhanced by other "cross themes" that cut across program areas. We'll explain our new strategy and program guidelines in a publication to be distributed later this year.

In recent years the increasing interconnectedness of the world - the formation of a "global village" - has accelerated under the influence of the revolutions in information technology and communications. There is much about this process we still do not understand, but it is becoming clear that globalization has benefited many of us and that, potentially, it can improve the well-being of everyone. Yet there are many poor people who are excluded from the benefits and who have become even more vulnerable.

The challenge we have chosen to take on is twofold: first to understand the processes of change spurred by globalization and second, to find ways that the poor and excluded will not be left out. We are convinced that the only way to do this is to focus on helping poor people access the knowledge, the tools and the opportunities that will allow them to enhance their lives and livelihoods.

In the narrative essay that follows this letter, you'll read about three families - people who represent the need, the opportunity and the challenges we face as we undertake our chosen task.

Reading the stories of these three families helps keep in focus for me the real reason why foundations are such a critical part of the world landscape. Foundations like the Rockefeller Foundation have the resources, the expertise and the time to explore problems at their roots, and to seek long-term solutions. Most importantly, we can forge partnerships with other foundations,
with international institutions, governments, non-governmental organizations and the private sector - forming alliances that can make a real difference. Many of the problems the world faces require global solutions. Equally, though, they require people to be able to take more active roles in determining their own futures. Most poor and excluded people could benefit greatly from more humane social and economic policies, structures and institutions. They need strengthened community organizations that will make their voices heard and help them realize their personal aspirations. These too, will be part of our objectives.

The stories of the three families in this annual report are illustrative of the stories and voices I hear as I travel. People I talk with share a common plea: for help in creating better lives for their families. They speak of issues - health, education and employment, for example - that the Foundation will continue to address.

I eagerly look forward to the coming year when we'll finish reorganizing and finalize our new funding strategies and program guidelines. While we will embark on some major new programs, many of our grants will continue for the foreseeable future. The success of our grantees to date has been considerable: In 1998 the International AIDS Vaccine Initiative, which we helped to create, has funded - for the first time - AIDS vaccine research in two developing nations; Kenya and South Africa. The jobs initiatives in the United States are putting people to work in a dozen poor communities, and our 10-year rice biotechnology program has resulted in new productive, and well-adapted rice varieties being produced in Asia and Africa.

I'm confident that, going forward, we will remain one of the leading funders of groundbreaking work aimed at making the lives of marginalized and excluded people far better. To do anything less would be violating one of our original covenants. When the Foundation was set up in 1913 the secretary of the Foundation wrote that the Foundation's framework should be "when going into a community with a gift, that the community has its own will and its own resources to meet the need; to avoid gifts in perpetuity; and to focus on problems that go to the root of individual or social ill-being and misery." We remain committed to this framework.

Gordon Conway
March 22, 1999
ABOUT THE FOUNDATION – THE BOARD OF TRUSTEES

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During 1998, worldwide capital markets experienced the most serious disruptions in recent history as the Asian emerging markets crisis spread and Russia's default on government debt triggered a global flight to quality and widespread illiquidity in the fixed income markets. In the United States, the near collapse of a giant, highly leveraged hedge fund led to a bailout by leading financial institutions to avert what was perceived as a threat to the stability of the financial system. The Foundation's investment portfolio, which has been substantially restructured over the past four years and which has a strong focus on risk management, weathered these difficult markets well. The portfolio experienced some volatility during the year but sustained no unusual losses. The value of the endowment rose to $3.3 billion at the end of June, declined to $3.0 billion in September, and, with market recoveries late in the year, was again at $3.3 billion at year end. The total portfolio return for 1998 was 13 percent.

The key financial objectives of the Foundation's board of trustees are maintaining the purchasing power of the endowment over the long term, after inflation and grantmaking, and maximizing funds available for current program needs and administrative support. These conflicting goals are balanced through policies on the spending rate and on the asset allocation of the investment portfolio. Current guidelines call for an annual budget of 5.8 percent of the market value of the endowment. Strong financial markets in the 1980s and 1990s have enabled the Foundation to increase its annual budget for grants and administrative expenses from $48 million in 1979 to $159 million in 1998.

The finance committee periodically reviews the portfolio's commitment to each asset class and establishes a policy portfolio with target allocations. The Foundation rebalances to policy targets as markets move but does not make frequent tactical shifts in asset allocation. Since 1995, the long-term asset allocation targets have been: U.S. equity, 35 percent; international equity, 25 percent; bonds, 20 percent; real estate, 10 percent; and private equity, 10 percent.
The Rockefeller Foundation was created in 1913 and endowed in several installments totaling about $250 million. Since that time, this original endowment and the investment returns it has generated have provided funds for the Foundation's grant-making programs and operating expenses. The graph below shows that the real value of the Foundation's endowment in 1998 dollars has undergone periods of growth and periods of erosion depending on the relative impact of investment earnings, spending and inflation.

In the early 1960s the value of the endowment in 1998 dollars was as high as $4,510 million. Real value declined substantially through the mid-1970s during a period when low investment returns and high inflation devastated many endowments. The recovery in real value during the 1980s and 1990s has resulted from a combination of low inflation and unprecedented investment returns. The Foundation cannot, however, anticipate a long-term continuation of the benign conditions that have prevailed during the past 15 years and must continue to pursue policies that will ensure a stable source of funds in changing environments.

A few fundamental principles underlie the Foundation's investment program. Asset allocation is an important focus for the trustees and the investments staff. Investments are concentrated in five asset classes, each of which has a defined and differentiated role in the portfolio. Diversification is essential to portfolio design, but new approaches are added only if they are fully understood, serve a clear purpose and can be implemented in meaningful amounts. Portfolio complexity adds direct costs such as trading, fees and illiquidity. There may also be indirect costs in the form of unidentified risks and unintended bets.

Both active and passive (index funds) investment styles are used for marketable securities. While quantitative tools are essential for organizing data and for portfolio analysis, we believe that fundamental research and judgment will always be necessary in a world of rapidly changing and highly globalized capital markets. In selecting outside managers, we seek firms that, in addition to strong track records, have the people, management structure and
disciplined process to generate superior future results. We recognize that investment expenses have a substantial impact on long-term results and consider cost control an important component of effective portfolio oversight.

The Foundation's investments staff develops overall strategy; recommends selection of investment managers and oversees their performance and adherence to guidelines; researches new investment opportunities and determines their feasibility for the Foundation; and monitors and controls portfolio risks. Over the past four years the Treasurer's Office has recommended asset allocation and spending-level adjustments, articulated strategy for each asset class, reviewed and changed managers in each category, updated systems for monitoring the portfolio and analyzing results, and put in place comprehensive risk-management procedures. While changes in market conditions will always require updated approaches to portfolio oversight and will provide new investment options, we believe that the fundamentals of the Foundation's portfolio and investment operations are well positioned for the future.

The U.S. equity portfolio has approximately 30 percent invested in an S&P 500 index fund, with the remainder allocated among seven active managers. This asset class is benchmarked against the Russell 3000 index and is designed to approximate index allocations to large, medium and small capitalization stocks. The portfolio is somewhat tilted to value-oriented managers who are expected to provide more downside protection in difficult markets. This style bias, along with holdings in smaller capitalization equities, hurt relative performance during 1998 when a small group of large growth stocks dominated the market. For the year 1998, 15 stocks accounted for 50 percent of the performance of the S&P 500. The U.S. bond portfolio is managed by five advisers. In addition to U.S. Treasury and agency securities, the portfolio includes mortgages, corporates, asset-backed securities, high-yield and international bonds with managers who have expertise in these respective areas. Upheaval in the U.S. fixed income markets during the third quarter of 1998 was even more pronounced than in equities. The spreads between Treasury bonds and riskier securities, such as corporate or emerging market bonds, widened dramatically as shown in the graph below which depicts the change in spread between 10-year Treasury notes and high-grade U.S. corporate bonds. The Foundation's fixed income managers performed well during this extraordinary period, and no unanticipated risks surfaced in the portfolio.
The Foundation’s international equity portfolio has an index fund component plus five active managers. Currency risk is hedged at 50 percent of the international portfolio by two currency specialist managers who do not select the underlying equity securities. The Foundation intends to maintain its commitment to emerging markets equities despite the disastrous 1998 results in this sector. Emerging markets can represent up to 20 percent of the total international equities portfolio, but were approximately 10 percent at year-end. The Foundation’s managers with expertise in both developed and emerging markets will influence the portfolio’s future position within this range. During 1998, developed market results were positively affected by strength in Europe, which was partly offset by continued weakness in Japan. The Foundation’s overall return on international equities was solid in spite of the downturn in emerging markets and Japan.

In addition to marketable securities, the Foundation makes investments in private equity and real estate through funds run by high-quality teams in these sectors. The inefficiency and illiquidity of these areas offers the institutional investor with a long time horizon greater opportunity to benefit from the value added by experienced principals in selecting, structuring and managing investments. Investments in private equity are made by committing to funds managed by firms with proven expertise in either venture capital or leveraged buyouts. Our strategy is to build relationships with top tier firms with whom we can invest in a series of funds over time and to structure partnerships that align our interests with that of our partners. In 1998 we committed funds to a number of new and existing private equity relationships during a period of substantial fund raising in this sector.

Private investments in real estate are also made through partnerships. We were more cautious about adding to our real estate position in 1998, since it appeared early in the year that plentiful capital and economic weakness might create oversupply in the property markets. In recent years, the market of real estate public securities has expanded dramatically, and a portion of the endowment portfolio is invested in real estate equities (Real Estate Investment
Trusts and others). Publicly traded real estate dramatically underperformed other equity sectors in 1998 as these companies shifted from high growth to income-oriented investments in the perception of investors.

The Foundation’s investments staff continuously explores new investment strategies, seeking approaches to improve the risk/return dynamics of the portfolio. In the areas generally referred to as alternative investments, the endowment has a position in distressed fixed income securities, currently included in the private equity asset class. We have explored many types of hedge fund approaches used by others but concluded, as did others in 1998, that the real risks in many of these investment approaches were not fully understood or tested. We continue to actively seek strategies with appropriate risk/return profiles and a low correlation to the public markets.
INFORMATION FOR APPLICANTS

THE ROCKEFELLER FOUNDATION CONCENTRATES ITS GIVING AROUND EIGHT STRATEGIC AREAS DESCRIBED IN THE CORE STRATEGIES SECTION. THE FOUNDATION IS A PROACTIVE GRANTMAKER – THAT IS, THE OFFICERS AND STAFF SEEK OUT OPPORTUNITIES THAT WILL HELP FURTHER THE FOUNDATION’S LONG-TERM GOALS, RATHER THAN REACTING TO UNSOLICITED PROPOSALS. SPECIFIC PROGRAM GUIDELINES CAN BE OBTAINED BY WRITING OR CALLING THE FOUNDATION.

THE FOUNDATION RECOMMENDS THAT POTENTIAL APPLICANTS REVIEW THE RELEVANT PROGRAM GUIDELINES BEFORE SUBMITTING ANY UNSOLICITED PROPOSAL. FOUNDATION OFFICERS AND STAFF RECEIVE MORE THAN 12,000 UNSOLICITED PROPOSALS EACH YEAR, 75 PERCENT OF WHICH CANNOT BE CONSIDERED BECAUSE THEIR PURPOSES FALL OUTSIDE THE FOUNDATION PROGRAM GUIDELINES.

Grants

Among the factors considered in evaluating grant proposals are:

- THE PROJECT’S RELEVANCE TO FOUNDATION PROGRAMS AND STRATEGIES;
- THE APPLICANT’S QUALIFICATIONS AND RECORD OF ACHIEVEMENT; AND
- THE APPLICANT’S ABILITY TO SECURE ADDITIONAL FUNDING FROM OTHER SOURCES.

Limitations

As a matter of policy the Foundation does not:

- GIVE OR LEND MONEY FOR PERSONAL AID TO INDIVIDUALS;
- CONTRIBUTE TO THE ESTABLISHMENT OF LOCAL HOSPITALS, CHURCHES, SCHOOLS, LIBRARIES OR WELFARE AGENCIES, OR TO THEIR BUILDING AND OPERATING FUNDS;
- SUPPORT ATTEMPTS TO INFLUENCE LEGISLATION; OR
- EXCEPT IN RARE CASES, PROVIDE GENERAL INSTITUTIONAL SUPPORT OR FUND ENDOWMENTS.

Relationship With Grantees Regarding Affirmative Action

The Foundation’s Board of Trustees approved an external affirmative action policy in March 1988 for use with grantees. This policy states:

"THE ROCKEFELLER FOUNDATION BELIEVES THAT IMPORTANT ISSUES OF UNDERREPRESENTATION OF MINORITIES AND WOMEN REMAIN UNRESOLVED IN OUR SOCIETY, AND SO FAR AS POSSIBLE WE SEEK TO PLAY A HELPFUL AND CONSTRUCTIVE PART IN THEIR RESOLUTION. TO THAT END, THE FOUNDATION SYSTEMATICALLY INVESTS IN THE PROFESSIONAL DEVELOPMENT OF MINORITIES AND WOMEN AND THEIR PROMOTION INTO LEADERSHIP ROLES. THE FOUNDATION EXPECTS GRANTEE ORGANIZATIONS TO ENGAGE IN SIMILAR EFFORTS. CONSEQUENTLY, AFFIRMATIVE ACTION QUESTIONS WILL BE RAISED WITH GRANTEES OR APPLICANTS FOR SUPPORT WHEN THERE APPEAR TO BE OPPORTUNITIES FOR CONSTRUCTIVE COLLABORATION WITH THE FOUNDATION IN THE INTERESTS OF IMPROVING OPPORTUNITIES FOR UNDERREPRESENTED GROUPS. IN SUCH INSTANCES, AS A FIRST STEP, WE MAY ASK THESE INSTITUTIONS TO INFORM THE FOUNDATION OF THEIR EFFORTS, SUPPORTED, AS APPROPRIATE, WITH DATA ON THE GENDER AND MINORITY COMPOSITION OF THE LEADERSHIP OF THE INSTITUTION."

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COMPOSITION OF THE LEADERSHIP OF THE INSTITUTION.

HOW TO APPLY
As the Foundation delineates new program structures and funding guidelines over the course of 1999, relevant guidelines and applications will be made available by writing to:

THE ROCKEFELLER FOUNDATION
420 FIFTH AVENUE
NEW YORK, NEW YORK, 10018-2702
U.S.A.

OR CALL:

212-869-8500
AFRICAN INITIATIVES

STRATEGY:
“BUILD HUMAN AND INSTITUTIONAL INFRASTRUCTURES IN AFRICA WITH AN EMPHASIS ON CLOSING THE GENDER GAP IN SCHOOL ENROLLMENT AND ACHIEVEMENT.”

Please be aware that the strategy described here is based on the Rockefeller Foundation’s 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

Investment in girls' education is especially beneficial to developing countries. Educating women correlates closely to reduced fertility and improved quality of life. An increase in the wages that educated women can command makes bearing children a more expensive option because it removes women from a substantial stream of income. Better-educated women tend to delay marriage, are more aware of their family planning options and pursue better prenatal and neonatal care for the children they do have. As well as having fewer, healthier children, educated women are themselves likely to have better-educated and better-employed children.

A variety of complex, interrelated factors contribute to the distressing state of girls' education in Africa: high population growth rates, lack of financial resources, shortage of facilities, high dropout and repetition rates, low teacher morale, traditional perceptions of the girl's role, and irrelevant curricula. Often compounding the plight of girls who do enroll in school are unwelcoming systems that may be inappropriate for their needs, hostile and, at times, unsafe. For families and communities the costs of schooling are difficult to justify in the face of society's priority for girls to become wives and mothers, often deferring their life decisions to parents and husbands.

All children-and indeed all of society-will benefit when schools are made more accessible to girls and teaching more sensitive to their needs. When parents acknowledge the importance of their daughters' education and are involved in supporting their schooling, girls perform better. If classes relate to girls' background and experiences, if they are taught in local languages, and if practical skills are included in the curriculum, girls are more likely to realize their potential. And when schools sensitize male teachers to girls' needs and employ female teachers who can act as role models, girls' participation is enhanced.
Important elements of the Rockefeller Foundation's strategy include facilitating good quality education in reading, mathematics, language and science for children. On the demand side, efforts are focused on public information campaigns intended to promote the benefits of female education. Greater community participation in education improves both the relevance and quality of education and ensures sustainability by encouraging better resource mobilization.

At the professional level, Africa can expand its participation in an increasingly technology-driven global economy by providing more and better trained natural and social scientists. To build a cadre of productive, well-trained leaders capable of affecting and sustaining economic and social development, the Foundation funds several initiatives to increase the relevance, quality and utilization of doctoral training received abroad and to help new Ph.D.s build career paths in Africa. Within Africa the Foundation also supports specialized training and collaborative partnerships for professionals-including engineers, economists and natural scientists-that are intended to improve the quality of research and policy initiatives.

The African Initiatives program has created a network of trained, highly skilled professionals whose expertise has greatly contributed to carrying out the Rockefeller Foundation's work in family planning, public health, agriculture and environment.
ARTS AND HUMANITIES

STRATEGY:
"UNDERSTAND AND ENGAGE DIFFERENCE ACROSS CHANGING SOCIETIES THROUGH THE ARTS AND HUMANITIES."

Please be aware that the strategy described here is based on the Rockefeller Foundation’s 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

The arts and humanities offer interpretations and ideas that are the basis for a culture’s values and vitality. Artists and humanists provide a unique window into the essence of human life-into who we are, how we live, what moves us, what history we share, and what are the distinctive characteristics of our cultures. Their visions, communicated through painting, performance, text, film and other media, can help audiences see beyond their own interests to appreciate the commonalities, as well as differences, among peoples. The arts and humanities also animate civil society and promote the free and creative flow of ideas across societies.

Throughout its history, the Rockefeller Foundation’s support of artists and humanists has been linked to the ideas and issues of the day. Over time, its work has been concerned with expanding the definition of American culture and identity, balanced by efforts to comprehend other cultures across the globe. In these times of transition and social upheaval, when societies are increasingly diverse, mobile, striving and interdependent, the Foundation has targeted efforts that foster understanding across divides of class, ethnicity, religion and tradition—both here and abroad.

In Africa the Foundation funds cultural institutions—publishers, independent radio, museums, festivals of the expressive arts—to strengthen the autonomous voices of critique and pluralism necessary for civil society to flourish. In parts of the Muslim world, support enables researchers, critics and artists to work together within and across their own changing societies. In Latin America the binational U.S.-Mexico Fund for Culture promotes exchange and collaboration in the performing, visual and media arts; cultural studies; publishing; and translation. Also addressed are such issues as the cultural implications of immigration and how diversity is understood in both the United States and Mexico. Within the United States, the Foundation funds cultural initiatives that
examine difference, celebrate the multiple heritages of Americans, and advance understanding and reciprocity. This is done through, among other programs, a production fund for the creation of new work in the performing arts; fellowships for media artists; museum exhibitions that promote conversations across boundaries and cultural divisions; and an initiative supporting community partnerships that employ arts and culture in building healthy communities. A fellowships program for humanities scholars at selected research centers in North and South America supports the creation of new knowledge through interdisciplinary exchange and the building of a network of scholars and institutions.
HEALTH SCIENCES

STRATEGY:
“Build human capacity for population-based health care in developing nations.”

Please be aware that the strategy described here is based on the Rockefeller Foundation’s 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

These words set the tone for how the Rockefeller Foundation would think about and act upon global health needs for the next nine decades. Since 1913 the Health Sciences division has been at the heart of many of the major scientific and humanitarian advances that have been crucial to the well-being of the world’s people: partly funding the discovery of penicillin, developing a yellow fever vaccine, helping build many of the world’s modern health institutions, and advancing the quality of medical research and education in the United States and around the world. Through the years the division has also focused on eradicating infectious diseases—from hookworm to smallpox to polio—and today is concentrating on the development of a safe and effective AIDS vaccine.

The Health Sciences division is now evaluating its work in order to build upon the Foundation’s successes. It will create a program to secure greater equity in health in the next century by:

1. ADVANCING UNDERSTANDING OF HEALTH EQUITY
2. PROMOTING EQUITY-ORIENTED HEALTH RESEARCH AND DEVELOPMENT
3. STRENGTHENING THE CAPACITY OF HEALTH SYSTEMS TO REDUCE INEQUITIES IN HEALTH.

ARTICULATION OF THE STRATEGY WILL BE BASED ON AN ASSESSMENT OF BOTH EXISTING AND FUTURE HEALTH CHALLENGES, WHICH INCLUDE: SLOWING THE SPREAD OF FATAL CHILDHOOD INFECTIONS; TACKLING THE RAPID INCREASE IN CHRONIC CONDITIONS ASSOCIATED WITH CHANGING LIFESTYLE AND AGING POPULATIONS; AND ADDRESSING THE WORRISOME EMERGENCE OF A THIRD WAVE OF HEALTH RISKS LINKED TO GLOBAL CLIMATE CHANGE, VIOLENT BEHAVIORS AND DRUG-RESISTANT INFECTIOUS DISEASES. IN ADDRESSING THESE PROBLEMS, THE FOUNDATION WILL SUPPORT THE PRODUCTION AND APPLICATION OF KNOWLEDGE WHILE RECOGNIZING THAT ITS LIMITED RESOURCES REQUIRE GLOBAL PARTNERS.

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This year the Foundation has been a major contributor to the Global Health Equity Initiative (GHEI), a multifaceted project with more than 100 researchers in 15 countries. The researchers are committed to advancing understanding, broadening awareness, and promoting equity-enhancing policies and actions, with particular focus on the health and well-being of disadvantaged people in all parts of the world. Through intercountry comparisons of equity in health, especially from nonindustrialized countries, the GHEI hopes to examine the multiple dimensions of health equity, with a view to developing tools to inform policy and evaluate health-system performance according to equity criteria. With this new focus on equity in health as a programming priority, the Health Sciences division has funded equity studies in both of the GHEI’s two complementary research efforts: conceptual groups and case studies. The conceptual groups are developing a theoretical foundation for what equity in health means, thus providing a basis for thinking about its determinants, multiple expressions and consequences. In addition, 12 country case studies are applying this conceptual work to their investigations of specific questions of health equity. The interface of the conceptual groups with the country case studies constitutes a central strength of the GHEI, as it allows both practical application of the theoretical framework and field-experience impact on the conceptual studies.

As all signs point to a more integrated and interdependent world, we must begin to think of health problems in a truly global context. Despite the tremendous capacity for good health due to technology and favorable social conditions, large populations in many countries face shortened life expectancies due to unequal opportunities in accessing these prerequisites for health. In addition to the moral repugnance of these inequities, their enormous costs for both the public and private sector make finding opportunities to redress them a high priority for any country interested in reaching its full economic and social potential.
EQUAL OPPORTUNITY

STRATEGY:
“SUPPORT VIBRANT AND DIVERSE URBAN COMMUNITIES, ASSISTING THEM TO GAIN ACCESS TO THE FUNDAMENTAL ELEMENTS OF OPPORTUNITY INCLUDING EMPLOYMENT, GOOD SCHOOLS, FREEDOM FROM DISCRIMINATION AND FULL PARTICIPATION IN THE DEMOCRATIC PROCESS.”

Please be aware that the strategy described here is based on the Rockefeller Foundation’s 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

By most measures, the United States today is experiencing a period of economic prosperity. Yet there are many communities, particularly in urban settings, where people do not benefit from this wealth. There are areas of our country that economic progress doesn’t reach—where location, racism, the departure of businesses and diminishing public resources have conspired to propagate poverty and distress, in good times as well as bad, and where new welfare regulations have dictated radical change in public programs that assist the poor.

Embedded in these observations are key assumptions that form the backbone of the Equal Opportunity division’s programs: that at present in this country, opportunity is not equally distributed; that location, race and ethnicity affect a person’s chances at economic prosperity; that education is critical to a person’s chances at a better life; and that progress will require the participation of both public and private partners and the support of the communities involved.

The Equal Opportunity division’s work assists communities in accessing the fundamental elements of opportunity: jobs, good schools, freedom from discrimination and participation in a democratic society. Targeting those urban areas under the greatest duress, the work of the division involves increasing labor force participation, improving urban school systems, fostering democratic principles of social justice and inclusion, and helping struggling communities to rebuild themselves.

If you were to ask 10 people to describe what is meant by the word "community," you might get an equal number of different descriptions. But out of these might come the common notions of home, place, friends and neighbors, schools, businesses and commerce and places of worship. But what if some of these things were missing from your own community? What if there were no banks or supermarkets nearby, or affordable transportation to travel to them? What if no one would lend you money to start a business or renovate a home in your neighborhood? What if your neighborhood public school didn’t adequately serve the education needs of your children? What if no one knew could find a job—or if they did, there was no one to look after their children while they worked?

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Community-building programs engaging the National Community Development Initiative and the National Community Building Network address the wide array of issues in distressed communities—from proper housing and adequate business investment to family needs and supports—that make for sound communities.

Critical to a thriving community is the availability of jobs for its residents. To increase employment opportunities where they are needed most, the division has undertaken research and launched a set of initiatives designed to improve employment rates in distressed urban locations. All of these programs have at their core the belief that work is a fundamental organizing principle of adult and community life, and that without it individuals have little chance of improving their quality of life. These programs will test strategies that address the full spectrum of issues that impact employment in urban environments, from the specific needs of the hardest to employ, to the community supports that help people keep jobs and the public policies that assist them.

The Jobs-Plus program will work to increase dramatically the number of public housing residents who are employed, and, by doing so, improve the overall quality of life of the families who live at these sites. The Neighborhood Jobs Initiative unites a wide variety of community members from the public, private and nonprofit worlds to direct job opportunities to the residents of neglected urban neighborhoods. The Connections to Work program identifies opportunities for retooling the service delivery structure in select cities to improve job training and placement services for welfare and low-income people.

A good education is essential for opening doors of opportunity, and quality teaching in an environment that supports the growth and achievement of children is at the heart of a good education. Since 1990 the Rockefeller Foundation has supported Dr. James P. Comer's School Development Program (SDP), whose approach organizes the work of all the adults in a school community around the development of their children. To spread good educational practice and expand the reach of successful school reforms like the SDP beyond individual schools, the Foundation has shifted its efforts to the larger community in which a school operates and to the community dynamics that create the educational decision-making environment.

To that end, the Foundation is funding efforts like the Learning Communities Network to engage whole school districts in rethinking the policies and practices that improve the quality of the teachers and other leaders responsible for the education of our children.

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Providing employment, education and housing, and forging the partnerships that make communities work on behalf of residents, all go a long way to improving the opportunity for a better life for people of color. But the fact remains that direct challenges to the civil rights of racial and ethnic minorities continue in this country. In response, the division continues its support for national civil rights organizations and their capacity to respond to civil rights challenges.
GLOBAL ENVIRONMENT

STRATEGY:
“FACILITATE THE TRANSITION TO A NEW ENERGY PARADIGM BASED ON SUSTAINABILITY, RENEWABLE RESOURCES, EFFICIENT USE, ECONOMIC VIABILITY AND EQUITY IN ACCESS.”

Please be aware that the strategies described here are based on the Rockefeller Foundation’s 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

Human activities intended to improve economic well-being have adversely affected the biosphere. Expanding consumption and population growth are testing the sustainable capacity of the earth. Growing waste production continues to degrade the environment, ruin human health, impact global climate change, destroy biodiversity, deplete the ozone layer and endanger the world that future generations will inherit. Our current path is not sustainable.

The transition to sustainability—when humankind’s relationship with the environment does not imperil the earth’s ability to sustain itself—demands visionaries capable of thinking beyond current orthodoxies. Unless the next generation of leaders can synthesize ideas across traditional sectors and disciplines, think beyond national boundaries, transcend cultures and build alliances across multiple constituencies, these leaders will not be prepared for the challenge. Leadership for Environment and Development, known as LEAD, was created by the Rockefeller Foundation in 1991 to help contribute to the process of human capital development.

LEAD inspires a course of development that is environmentally responsible, culturally acceptable, socially equitable, economically viable and politically feasible. Using a combination of interdisciplinary methods, interactive skills building and global networking, the LEAD program is building a cadre of midcareer professionals in a wide range of disciplines who actively contribute toward the sustainable management, conservation and symbiotic use of ecosystems throughout the world. The graduates are also provided an opportunity through post training support to put innovative ideas into practice and establish their leadership credentials.

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
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<tbody>
<tr>
<td>Total</td>
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</tr>
<tr>
<td>Advance Energy Transition</td>
<td>$6,250,000</td>
</tr>
<tr>
<td>Building Human Capital</td>
<td>$6,380,000</td>
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| Other                  | $517,000   

Among the most environmentally damaging human activities is the production and provision of energy services. Energy is an essential constituent of
humankind’s social and economic advancement, yet the dependence on fossil fuel-based energy services is causing serious health hazards and environmental pollution. Already the planet’s ability to temper its climate and thus ensure life in the biosphere has been cast into doubt as heat-trapping gases released from combusted fossil fuels continue to build up in the atmosphere. Evidence is mounting that increased climate disruption could have pervasive adverse impacts on human health and well-being, and result in significant loss of life and property.

All of humanity bears the burden of a deteriorating global environment caused in part by the burning of fossil fuels, yet only a fraction of the earth’s population ever benefits from fossil fuel-based energy services. The more than four billion people in developing countries use only about 25 percent of the world’s primary energy and many have little or no access to modern energy services. Both the rural and urban poor are caught in a vicious cycle: they expend a higher proportion of their income on obtaining minimal energy services, and they are unable to invest in the use of less costly, more efficient energy sources and equipment.

The Global Environment division seeks to catalyze the transition to a new energy paradigm in both developed and developing countries by reducing dependence on fossil fuel, and replacing fossil fuel sources with renewable-energy sources and increased energy efficiency. The Foundation continues to support the work of E&Co (created in 1994) in its pursuit of new approaches to the production, financing and delivery of energy services in developing countries. E&Co finances new, scientifically valid technologies that are replicable and economically viable, and that increase equity and institutional sustainability while establishing the basis of stronger renewable-energy efficiency industries. In the United States the Global Environment division supports the Energy Foundation’s efforts to promote policies, practices and technologies that help utilities to generate, and end-users to employ, energy at the least financial and environmental cost.

IThe Foundation conceived the Global Energy Initiative, which seeks to demonstrate to high-level, national decision makers in developing countries the viability of renewable-energy sources by emphasizing their equity and quality-of-life benefits. This Initiative aims to facilitate dialogue among political, business and community leaders to catalyze selective projects designed to demonstrate an improved quality of life for the rural and urban poor, and simultaneously reduce the threats of pollution and global climate change. By focusing on increasing the provision of renewable-energy systems to the rural poor, and minimizing the impact of energy-related health problems caused by urban air pollution and solid waste, the Foundation hopes to accelerate the transition to a new energy paradigm.
POPULATION SCIENCE

STRATEGY:
"MOBILIZE RESOURCES TO SATISFY UNMET DEMAND FOR FAMILY PLANNING AND REPRODUCTIVE HEALTH SERVICES."

Please be aware that the strategy described here is based on the Rockefeller Foundation’s 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

The world is on the eve of a tremendous social transformation. Couples of childbearing age across the globe more and more often choose to have "children by choice." Such decisions help improve the quality of life for women in most developing nations, leading to healthier and better educated children, increased life expectancies and more robust economies.

The continued downward trend toward population stabilization—from high birth rates and high death rates, to low birth and death rates—is one of the world’s greatest development success stories. For more than 30 years, leading industrialized nations, and the nonprofit sector within these countries, have partnered with low-income nations to provide critical resources needed to slow population growth. These efforts focus on safe and efficient delivery of reproductive health services, including family planning, to both men and women.

Since establishing this goal in 1992, the Rockefeller Foundation has granted more than $75 million to research institutions and nongovernmental organizations working to fulfill the unmet demand for contraception and reproductive health care. Foundation grants promote policy dialogue and research, and are helping to launch a second contraceptive technology revolution from which new contraceptive products can be developed. These grants also fund field research in reproductive health, especially among adolescents in south Asia and sub-Saharan Africa.

The world cannot afford to forget, however, that human numbers are still growing rapidly. The world’s population—now at 5.8 billion—has doubled since 1957 and continues to add about 80 million people each year.

By the year 2005, 800 million teenagers will have reached childbearing age—the largest such group of potential parents ever. This means that by the coming
century, one-seventh of the world’s population will be teenagers entering their reproductive years. The Foundation’s reproductive health field research program, operated jointly by the Health and Population Sciences divisions, aims at finding effective ways to meet the sexual and reproductive health needs of this largest generation of young adults in history.

The fact that so many teenagers are alive reflects a great humanitarian achievement: dramatic reductions in infant and child mortality coupled with declining birth rates. Such progress reflects a steadfast commitment by many developing countries to delivering safe and effective health care including family planning services. Developing countries now lead the world in providing such services to their citizens. In the early days programs were financed largely by aid from industrialized nations, but now nearly 75 percent of such services are paid for by the nations using them.

This does not mean that industrialized nations can afford to pull back from providing financial and technical assistance. At the 1994 International Conference on Population and Development (ICPD) in Cairo, Egypt, 183 nations endorsed a program of action estimated to cost $17 billion annually by 2000. The program’s goals are to improve quality of care, expand the range of family planning and other reproductive health options, encourage male participation, and empower women. The success of the ICPD program is largely dependent on continued financial support from nations like the United States, members of the European Union, Canada, Australia and Japan. If the world is to manage population growth effectively, all nations must work together.

One such example of global cooperation is Partners in Population and Development, a 12-member intergovernmental organization formed at the ICPD. Funded by member governments, the Rockefeller and Hewlett Foundations, the United Nations Population Fund, and the World Bank, Partners members share technical resources and information about successful reproductive health and family planning efforts on a "south-to-south" basis. They are proving that expertise in this field is just as likely to come from developing nations as it is to be "imported" from industrialized countries.

The Foundation is also committed to support of partnerships between the public and private sectors. An example is an initiative between university researchers and the pharmaceutical manufacturer Schering to promote scientific study of male contraception, research that could lead to the development of new male contraceptives over the next decade. The Foundation believes that committing the resources called for in the ICPD Programme of Action is crucial to achieving both the goal of improved health for women and their families, and the now more-attainable-than-ever prospect of population stabilization.
Some argue that we now live in an age that discourages opportunities for deep thought and reflection, one where we regularly use phrases such as "information overload" and "overstimulation" to describe aspects of our lives. Advanced technologies such as fax machines, cellular phones, beepers and e-mail distract us and require time, leaving less for what should be priorities-creative thinking, scholarly discussion and serious contemplation.

The Rockefeller Foundation first began to consider these issues some 40 years ago when an unprecedented opportunity arose in Bellagio, Italy. When American expatriate Ella Holbrook Walker bequeathed the Villa Serbelloni to the Foundation in 1959, the first order of business was determining how to fulfill her wish that the Villa be used for the promotion of international understanding. The result was the Bellagio Study and Conference Center.

Nestled on Lake Como in the foothills of the Italian Alps, the Center comprises 17th- to 19th-century buildings on 50 acres of gardens and park-an ideal environment for solitude and contemplation suitable for writing, thinking, creating and coming to consensus. Three participatory opportunities are offered at the Center: monthlong individual residencies, team residencies averaging seven-to-10 days, and weeklong international conferences. All allow for exploration of a variety of important areas, some expanding the Foundation's current work, others extending far beyond it. Widely recognized as an important meeting place for scholars and practitioners from every region of the world, the Center is a testament to the Foundation's commitment to promoting innovative thinking on the global level.

The spirit of the Bellagio Center is captured by comments from recent participants:

"NEVER BEFORE HAVE I BEEN IN A SETTING THAT ALLOWED SUCH CONCENTRATED WORK, THOUGH PLEASANTLY PUNCTUATED BY STIMULATING CONVERSATIONS WITH PERSONS WHOSE PERSPECTIVES AND BACKGROUNDS PROVIDED NEW PRISMS FOR LOOKING AT MY WORK."

"SOME OF THE TEAM MEMBERS HAD NEVER MET EACH OTHER, LET ALONE WORKED TOGETHER. WE USED THE TIME TO ARGUE, RESOLVE DIFFERENCES, TEACH EACH OTHER AND, ABOVE ALL,
CREATE HALF OF A NEW WORK IN WHAT, TO SOME OF US, IS A FOREIGN LANGUAGE AND A FOREIGN CULTURE."

"THE EXQUISITE FACILITIES AND BREATHTAKING BEAUTY OF BELLAGIO ENSURED THAT THE FULL AND FRANK CONFERENCE SESSIONS DID NOT RESULT IN ACRIMONY."

Applications are reviewed by Foundation professional staff and a group of consultants. Decisions are based on the quality of the individual or team project or conference proposed, the importance of the proposed work to development and innovation in its field and discipline, the competence of the applicant(s), and the suitability of the Center for the proposed activity. During the past 38 years, the Center has served as a meeting place for more than 3,200 residents and 18,000 conferees from 120 countries.

BUILDING DEMOCRACY

Please be aware that this program is based on the Rockefeller Foundation’s 1997 and 1998 programming structure. All program guidelines are currently under revision and will be announced in the fall of 1999.

As the nation’s democratic ideals and institutions come under increasing scrutiny, Rockefeller Foundation officers have begun to ask the question, how do we reinvigorate democratic principles, processes and institutions? In response, the Foundation is developing an innovative three-pronged exploration that focuses on community building, leadership development and race relations. Through a collaboration with community organizations and development professionals, the Building Democracy program identifies examples of effective community-building projects-self-renewing efforts by residents and professionals-that will strengthen the principles that serve as the foundation for our democracy.

Another part of this exploration concerns the widening gap between institutions and individuals, those with and without power, and the deepening breach of our social fabric. Issues such as race and ethnicity, the impact of immigration and demographic changes in America, globalization, and the influence of the media and information technology are illustrative of the next millennium’s complex nature. In 1997 the Foundation launched a trial of the Next Generation Leadership (NGL) program, a two-year fellowship designed to increase participants' understanding of the challenges facing democracy in the 21st century. Through this program, the Foundation hopes to create a new corps of leaders who have the skills to rebuild a society based on democratic principles.
Intrinsic to discussions of democracy in this country, however, is an understanding of the nature of a diverse society such as ours. Toward this end, the Foundation has started its own work on a National Conversation on Race. The subject of race relations is one that continues to permeate the conscience of America, and despite ongoing efforts to address this problem, its resolution remains elusive. We're working with a number of grantees, researchers, other foundations and community leaders to better understand the root causes of inequity. Through this, the Foundation will identify creative approaches to bridge racial and ethnic divides and bring new concepts of race relations to a wider audience.

SPECIAL INTERESTS

There are programs funded by the Rockefeller Foundation that may not fall within the Foundation's program guidelines, but whose focus complements its work, or deals with issues that the Foundation is beginning to explore. The Special Interests fund supports a variety of important and worthy projects in a wide array of professional fields that do not otherwise qualify for assistance based on program guidelines. The Foundation also encourages the development of philanthropy through grants to such organizations as the Council on Foundations, the Independent Sector, the International Institute for the Advancement of Philanthropy and other organizations specializing in the nonprofit sector.
Located in northern Italy on Lake Como, the Bellagio Study and Conference Center provides a stimulating international environment for weeklong conferences; monthlong study residencies for scholars, scientists and artists; and team residencies of five to 28 days for creative and problem-solving groups.

The Center, also known as the Villa Serbelloni, occupies a wooded promontory situated in the foothills of the Italian Alps. Surrounded by 50 acres of park and gardens, the main house and seven other buildings, parts of which date back to the 17th century, offer a locale that is highly conducive to productivity and collaboration.

Approximately 140 residents, 15 conferences and 20 teams are chosen annually on a competitive basis. Application reviews are conducted three times a year by external evaluators and Foundation officers. Decisions are based on the quality of the individual or team project or conference proposed, the importance of the proposed work to development and innovation in its field and discipline, the competence of the applicants, and the suitability of the Bellagio Center for the proposed activity.

Since its opening in 1959, the Bellagio Study and Conference Center has served as a meeting point for over 2,600 residents, 800 team participants and 18,000 conferences from more than 80 countries.

Bellagio Innovation Fund
1998 appropriation of $125,000 in addition to previous funding for allocation by the officers to seek out and fund activities that will enhance Bellagio conferences and teams.

Cornell University, Ithaca, New York: $23,638 toward the costs of activities to enhance team residencies, "Death of the Father: An Anthropology of Changes in Political Authority," that were held at the Bellagio Center from July 31 to August 6, 1996, and July 15 to 25, 1997.

International Helsinki Federation for Human Rights, Vienna, Austria: $25,000 toward the costs of activities to enhance a conference, "Identifying Objectives for the Human Rights Movement in the OSCE Area," that was held at the Bellagio Center from January 25 to 29, 1999.

Johns Hopkins University, Baltimore, Maryland: $22,950 toward the costs of activities to enhance a conference, "The Autobody Consortium for Reproductive and Sexual Health," that was held at the Bellagio Center from January 25 to 29, 1999.

Open Society Institute, New York, New York: $21,000 toward the costs of activities to enhance a conference, "Rebuilding a Democratic Burma," that was held at the Bellagio Center from March 23 to 27, 1998.

University of Denver, Denver, Colorado: $5,000 toward the costs of activities to enhance a conference, "Worldwide Archaeological Perspectives on Women and Gender," that was held at the Bellagio Center from October 12 to 16, 1998.

University of Texas Medical Branch at Galveston, Galveston, Texas: $25,000 toward the costs of activities to enhance a conference, "Permanently Unconscious Patients: The Need for Responsible Medical, Legal, and Public Policies," that was held at the Bellagio Center from August 8 to 12, 1994.

CONFERENCES

Application of Molecular Pharmacology for Post-Testicular Activity - Mahmoud F. Fathalla, senior program adviser in Population Sciences, Rockefeller Foundation


The Challenges of Globalization of International Relief and Development NGOs - Marc Lindenberg, dean, Graduate School of Public Affairs, and Steven Rathgeb Smith, both of the University of Washington, Seattle.


The Economic Costs of Inequality - Pranab Bardhan, professor of Economics, University of California, Berkeley, and Samuel S. Bowles, professor of Economics, University of Massachusetts, Amherst.


Global Equity - Lincoln C. Chen, vice president, Rockefeller Foundation.

Global Fertility Transition - Steven W. Sinding, director, and Sara Syms, associate director, both of Population Sciences, Rockefeller Foundation.

Global Health Watch - Lincoln Chen, vice president, and Anthony So, associate director for Health Sciences, both of the Rockefeller Foundation.

Hepatitis Viruses and Human Liver Disease - Peter R. Gale, M.D., senior registrar, University Hospital, Heidelberg, Germany.

Liszt and the Birth of Modern Europe: Music as a Mirror of Religious, Political, Social, and Aesthetic Transformations - Michael Saffle, professor, Center for Interdisciplinary Studies, Virginia Polytechnic Institute and State University, Blacksburg, and Rosanna Colantone, Università degli Studi di Trento, Italy

Literary Cultures in History: Reconstructions from South Asia - Sheldon Pollock, George V. Bobrowsky professor of Sanskrit and Indian Studies, University of Chicago, Illinois, and V. Narayanan Rao, professor, Department of South Asian Studies, University of Wisconsin, Madison.
Global Environment

E&Co, Bloomfield, New Jersey.
1998 appropriation of $2,300,000 in addition to previous funding to continue support for its work to advance the formation of a new energy paradigm among enterprises in developing countries.

Energy Foundation, San Francisco, California.
1998 appropriation of $9,500,000 in addition to previous funding to support its work on U.S. energy efficiency and renewable energy sources.

International Energy Project Development.
1992 appropriation of $650,000 for allocation by the officers to develop economic and environmental benefits of co-generation, and to establish a biomass-based energy systems for developing countries and lay the groundwork for donor support.

Foundation-administered project: $414,121 toward the costs of designing a program to facilitate the transition to a new energy paradigm.

Leadership for Environment and Development.
1998 appropriation of $6,380,000 in addition to previous funding for allocation by the officers to build human capital for leadership that will promote sustainable development, and nurture international cooperation to accomplish these ends.

Foundation-administered project: $150,000 for consulting services for the LEAD program.

Foundation for the Fourth Annual World Congress.
1997 appropriation of $700,000 for allocation by the officers to convene key policymakers in selected countries of the value of a transition to the new energy paradigm.

Transition to the New Energy Paradigm.
1997 appropriation of $700,000 for allocation by the officers to convene key policymakers in selected countries of the value of a transition to the new energy paradigm.

Population and Community Development Foundation, Bangkok, Thailand.
$38,500 toward to evaluate efficient and sustainable energy projects for a demonstration in Thailand.

University of Honshu, Stuttgart, Germany.
$60,000 toward the costs of research on the development of a cooking stove fueled by peat and oil for use in tropical and subtropical countries.

GRANTS IN AID

Arias Foundation for Peace and Human Progress, San José, Costa Rica.
$30,000 to evaluate environmental security within the scope of its project on democratic governance in Guatemala.

Belgique Forum for Sustainable Development, Ostend, Belgium.
$34,500 for general support.

Ben-Gurion University of the Negev, Beer Sheva, Israel.
$20,000 to strengthen the participation of developing country universities and institutions in the University's program to combat desertification in Africa, Central Asia and Asia.

Binga Treas Trust, Binga, Zimbabwe.
$13,300 to support the costs of developing its training, service and research center, and to promote the management and use of maize and Jatropha curcas for use on small farms.

Foundation-administered project: $112,000 for the costs of a meeting on the potential environmental benefits of electric vehicle use in developing countries.

Climate Institute, Washington, D.C.
$25,000 toward the costs of a seminar on the Small Island Greeneing Initiative, a collaborative effort with the Alliance of Small Island States, to assist these states in developing adaptation responses to climate change and in transitioning their energy systems to renewable fuels.

$450,000 toward the costs of its project to promote the development of electric transportation in the developing world.

Foundation for Business and Sustainable Development, Oslo, Norway.
$10,000 toward the costs of its international conference on urban air quality and electric vehicle systems for developing countries.

Foundation for Human Progress, San Jose, California.
$16,700 for a preparatory survey for its project to develop a stakeholder toolkit for women.

Foundation for Peace and Development.
1992 appropriation of $650,000 for expenses associated with a strategic planning exercise for the Foundation's Global Environment Division.

Foundation-administered project: $250,000 for expenses associated with a strategic planning exercise for the Foundation's Global Environment Division.

HORIZON Communications, New Haven, Connecticut.
$35,000 toward the costs of its HORIZON Solutions Start's work to develop and promote awareness of case studies on solutions relating to sustainable livelihoods and environmental security in Africa.

International Energy Initiative, Johannesburg, South Africa:
$48,000 for the costs of producing a citizens' report on state of global environmental negotiations and disseminating the report widely.

Foundation for Security and Environment, New Delhi, India.
$48,000 for the costs of producing a citizens' report on the state of global environmental negotiations and disseminating the report widely.

Leadership for Environmental and Development Research Foundation, Pune, India.
$33,000 for the costs of a research project on production systems for Jatropha curcas for use on small farms.

Foundation-administered project: $12,000 for the costs of a meeting on the potential environmental benefits of electric vehicle use in developing countries.

State of California, Sacramento, California.
$38,659 for use by its Department of Parks and Recreation, North Coast Redwoods District, toward the costs of maintaining the streamflow station on Bull Creek, to be used in determining management strategies for ancient redwood forests.

Students Partnership Worldwide.
London, England: $25,000 toward the costs of providing environmental training to its student volunteers from developing countries.

United Nations Environment and Development - United Kingdom Committee.
London, England: $16,700 for a preparatory survey for its project to develop a stakeholder toolkit for women.

University of Namibia, Windhoek, Namibia.
$25,000 toward the costs of the Fourth Annual World Congress on the Zero Emissions Research Initiative.

World Media Foundation.
Cambridge, Massachusetts.
$30,000 toward the costs of its project to produce a series on energy use in the developing world for National Public Radio's environmental program, "Living on Earth."

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University of Malawi, Zomba $2,500 for administrative costs associated with Estelle Musavva's research

University of Zimbabwe, Harare $2,500 for administrative costs associated with Estelle Musavva's research

Barbara Ntombi Ngwenya, Botswana $23,338 to enable her to conduct research on the topic, "Gender and social transformation through women's funeral associations in the Botswana region" for administrative costs associated with Barbara Ntombi Ngwenya's research

University of Botswana, Gaborone $2,500 for administrative costs associated with Barbara Ntombi Ngwenya's research

Tandeka Nkwante, South Africa $24,350 to enable her to conduct research on the topic, "Food security in Ethiopia" for administrative costs associated with Tandeka Nkwante's research

University of Cape Town, Rondebosch, South Africa $2,500 for administrative costs associated with Tandeka Nkwante's research

Manashi Pantaleo, Tanzania $20,420 to enable him to conduct research on the topic, "The potential of natural forest ecosystems to conserve biodiversity, regulate water flow and mitigate greenhouse gas emissions in Tanzania" for administrative costs associated with Manashi Pantaleo's research

Sokone University of Agriculture, Monoun, Tanzania $2,500 for administrative costs associated with Manashi Pantaleo's research

George William Panyo, Uganda $19,085 to enable him to conduct research on the topic, "Decolonizing agriculture: Public resources in decentralized health systems in Uganda" for administrative costs associated with George William Panyo's research

Makerere University, Kampala, Uganda $2,500 for administrative costs associated with George William Panyo's research

Sylvain Razafimandimbyso, Madagascar $24,268 to enable him to conduct research at the Botanical and Zoological Park of Tsimbazaza on the topic, "Systematics and biogeography of the subfamilies " for administrative costs associated with Sylvain Razafimandimbyso's research

Aldo Romao Saute, Mozambique $24,090 to enable him to conduct research on the topic, "The African mission encounter and missionization in Mozambique. The Anglican mission of Santo Agostinho-Ho-Macene, 1926-1974" for administrative costs associated with Aldo Romao Saute's research

Eduardo Mondlane University, Maputo, Mozambique $2,500 for administrative costs associated with Aldo Romao Saute's research

Debora Sheki, Kenya $23,373 to enable her to conduct research on the topic, "Methods to assess the potential of livestock technologies on household welfare" for administrative costs associated with Debora Sheki's research

Kenya Agricultural Research Institute, Nairobi $2,500 for administrative costs associated with Debora Sheki's research

Florence Tangaka, Cameroon $24,706 to enable her to conduct research on the topic, "Cross breed cows and food security in Ethiopia" for administrative costs associated with Florence Tangaka's research

International Livestock Research Institute, Nairobi, Kenya $2,500 for administrative costs associated with Florence Tangaka's research

Boo Themeli, South Africa $17,800 to enable him to conduct research at the University of Cape Town on the topic, "Privatization and the labor markets in South Africa" for administrative costs associated with Boo Themeli's research

University of Cape Town, Rondebosch, South Africa $2,500 for administrative costs associated with Boo Themeli's research

University of Wisconsin at Madison, Wisconsin $1,556 in conjunction with the African Dissertation Internship Award to Galatocho Gebre to enable him to confer with him in Ethiopia during his field research for administrative costs associated with the University of Wisconsin at Madison, Wisconsin

Virginia Polytechnic and State University, Blacksburg, Virginia $4,316 in conjunction with the African Dissertation Internship Award to Enos Ekanem to enable his supervisor at Moi University to attend his dissertation defense for administrative costs associated with Virginia Polytechnic and State University, Blacksburg, Virginia

Madeleine Wong, Ghana $20,300 to enable her to conduct research on the topic, "International movements, migrant remittances and development: Negotiating gender roles and household strategies" for administrative costs associated with Madeleine Wong's research

University of Ghana, Accra $2,500 for administrative costs associated with Madeleine Wong's research

Gabre D. Yitso, Ethiopia $23,500 to enable him to conduct research on the topic, "Population displacement and food security in Ethiopia. Resettlers, hosts, and ecology" for administrative costs associated with Gabre D. Yitso's research

Addis Ababa University, Ethiopia $2,500 for administrative costs associated with Gabre D. Yitso's research

African Career Awards

John Johnson Hakiza, Uganda $32,900 to enable him to conduct postdoctoral research at the Makerere University on epidemiology and economic impact of salmonellosis infection in poultry in Uganda

Aldo Romao Saute, Mozambique $2,500 to enable him to conduct postdoctoral research at the University of Cape Town to assess agricultural education at tertiary institutions in Ghana

Estelle Mutiawa, Zimbabwe $22,415 to enable her to conduct research on the topic, "Sustainability, gender, and smallholder irrigation technology in Manicaland, 1928-1997" for administrative costs associated with Estelle Mutiawa's research

University of Zimbabwe, Harare $2,500 for administrative costs associated with Estelle Musavva's research

Anthony Mawuli Sallar, Ghana $22,200 to enable him to conduct research at the University of Cape Town on the topic, "Determinants of social risk taking: A study of the knowledge, attitudes, beliefs, and practices of young adults in Keta South District in Ghana" for administrative costs associated with Anthony Mawuli Sallar's research

University of Cape Town, Rondebosch, South Africa $2,500 for administrative costs associated with Anthony Mawuli Sallar's research

Patrick Mbaajekwe, Nigeria $2,500 for administrative costs associated with Patrick Mbaajekwe's research

McGill University, Montreal, Canada $3,157 in conjunction with the African Dissertation Award to Adhebre Onyango to allow her supervisor at Moi University to attend her dissertation defense for administrative costs associated with Adhebre Onyango's research

University of Zimbabwe, Harare $2,500 for administrative costs associated with Elias Mipofu's research

Elias Mipofu, Zimbabwe $25,220 to enable him to conduct research on the topic, "Social acceptance of Zimbabwean early adolescents with physical disabilities attending mainstream education programs" for administrative costs associated with Elias Mipofu's research

University of Zimbabwe, Harare $2,500 for administrative costs associated with Rose Mugidde's research

Rose Mugidde, Uganda $18,500 to enable her to conduct research at the Fisheries Research Institute on the topic, "Agri-nutrient fluxation in Lake Victoria (east Africa)" for administrative costs associated with Rose Mugidde's research

Fisheries Research Institute, Jinka, Uganda $2,500 for administrative costs associated with Rose Mugidde's research

Monica Musenero, Uganda $25,628 to enable her to conduct research on the topic, "Epidemiology and significance of mycobacterium boxa as a cause of human tuberculous in rural Uganda" for administrative costs associated with Monica Musenero's research

Makerere University, Kampala, Uganda $2,500 for administrative costs associated with Monica Musenero's research

Monica Musenero, Uganda $2,500 for administrative costs associated with Monica Musenero's research

University of Zimbabwe, Harare $2,500 for administrative costs associated with Monica Musenero's research

University of Zimbabwe, Harare $2,500 for administrative costs associated with Monica Musenero's research

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RELATED GRANTS

Association of African Universities, Accra, Ghana $25,000 toward the costs of engaging a consultant to survey and analyze gender programs and affirmative action initiatives in African higher education and to establish a database of African female experts.

Foundation-administered project: $25,000 for a study on the feasibility of providing electronic access to African theses and dissertations.

Social Science Research Council, New York, New York $50,000 toward the costs of a series of work shops on cross-regional research networks in Africa.

Social Science Research Council, New York, New York $72,000 toward the cost of conducting a study on the role of return to Africa of Africans earning PhDs in the United States and Canada.

GRANTS IN AID


Foundation-administered project: $31,200 to explore and assess the nature and impact of selected school health programs carried out by nongovernmental organizations in eastern and southern Africa, and to use the findings to support selected NGOs in developing and implementing their programs to strengthen the capacity of children to acquire the knowledge and skills to improve their health and increase their access to quality education.

Foundation-administered project: $60,000 for an exploration with the subcontractors of the PS/HS program on reproductive health research to identify cross-sectoral program opportunities concerning the needs and lifestyles of adolescents in sub-Saharan Africa.

Foundation-administered project: $84,000 for its project on global information/communication systems in Africa.


Institute of Economic Affairs, Nairobi, Kenya $25,000 toward the costs of Agenda 2000+, its publication intended to inform all sectors on key policy issues in Kenya.

International Development Research Centre, Ottawa, Canada $30,000 toward the cost of its African Technology Policy Studies Network.

Nawalny Nyerere Foundation, Dar es Salaam, Tanzania $14,000 toward the costs of its conversations on philanthropy with United States foundations.

National Council for Research on Women, New York, New York $20,000 to enable three African women to participate in its global initiative to advance international discourse on gender issues.

Pan-African Studies and Research Center in International Relations Education for Development, Abidjan, Ivory Coast $25,000 toward the cost of participants in its seminar, "Reforms and Innovations in Higher Education in Africa," and toward the cost of publishing four editions of CEPARRD's journal, JEDRAF.

Social Science Research Council, New York, New York $50,000 toward the costs of establishing a small interregional working group on international scholarly collaboration, as a component of the report of the Task-Force on Higher Education sponsored by the World Bank and UNESCO.

University of Cape Town, Rondebosch, South Africa $15,000 toward the costs of an evaluation workshop for its collaborative program to foster the development of partnerships in university science, the humanities and engineering throughout Africa.

University of Cape Town, Rondebosch, South Africa $150,000 toward the costs of its collaborative program to foster the development of partnerships in university science, the humanities and engineering throughout Africa.

The World Bank, Washington D.C. $100,000 toward the cost of the monitoring and evaluation component of its World Links for Development Program.

INCREASING EMPLOYMENT OPPORTUNITIES

Center on Budget and Policy Priorities, Washington, D.C. $1998 appropriation of $250,000 to continue general support for its policy analysis of government budgets and policy innovations.

Engaging the Private Sector 1998 appropriation of $500,000 in addition to previous funding for allocation by the officers to encourage private firms to employ greater numbers of inner-city residents in existing businesses and encourage new business development in or near inner-city neighborhoods.

Community Development Venture Capital Alliance, New York, New York $200,000 to explore the potential for attracting venture capital and business development expertise to undercapitalized areas and to investigate the potential to develop partnerships with venture capital and angel investors in locations dedicated to creating good jobs for disadvantaged workers.

Enterprise Foundation, Columbia, Maryland $70,000 to explore the capacity of nonprofit organizations to provide transitional and permanent employment to very low-income people in inner-city areas through the operation of nonprofit, revenue-generating enterprises.

Jobs for the Future, Boston, Massachusetts $50,000 toward selected projects for the better practice of collaborative work with traditional and non-participating businesses and the creation of new jobs for disadvantaged workers.

Manpower Demonstration Research Corporation, New York, New York $1,700,000 to support its Jobs-Plus initiative.

Manpower Demonstration Research Corporation, New York, New York $100,000 to support a study of the implementation of the Jobs Plus initiative in Milwaukee County.

Manpower Demonstration Research Corporation, New York, New York $300,000 to support its Neighborhood Jobs initiative.

Public/Private Ventures, Philadelphia, Pennsylvania $48,500 toward the costs of an exploration with the private sector and nonprofit organizations to support new models of local workforce development systems with the least skilled.

United Way of the Bay Area, San Francisco, California $30,000 to support a study of the potential to develop partnerships with venture capital and angel investors in locations dedicated to creating good jobs for disadvantaged workers.

Alameda County - Interagency Children's Policy Council, San Leandro, California $100,000 to support the incorporation of employment strategies into its neighborhood community support initiatives.

American Society for Training and Development, Alexandria, Virginia $99,251 to support a research project entitled, "The temporary staffing industry and the career prospects of lower skilled workers."

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Aspen Institute, Washington, D.C.: $30,000 to support the production of four papers for the Domestic Strategy Group.

Economic Policy Institute, Washington, D.C.: $75,000 to support the costs of research and dissemination activities associated with its work on the low-wage labor market.


Northeastern University, Boston, Massachusetts: $99,250 to support its project to coordinate research teams in 21 countries to analyze the measurement of unemployment and related labor force indicators in those countries.

United Way of the Bay Area, San Francisco, California: $30,000 to support the development of interview protocols and survey instruments for a labor market study of the hospitality industry.

University of Massachusetts, Lowell, Massachusetts: $99,641 to support a research project entitled, “The dynamics of internal labor market evolution: Implications for low-skill workers.”

GRANTS IN AID

Barnard College, New York, New York: $75,000 to support research on the economic and civic contributions of minority entrepreneurship in inner-city areas.

Corporation for Enterprise Development, Washington, D.C.: $75,000 to support its Downpayments on the American Dream Policy Demonstrations to test the efficacy of Individual Development Accounts as a route to economic independence for low-income Appendix.

Emory University, Atlanta, Georgia: $50,307 to support a research project entitled, “Access to opportunities: A systems approach to welfare reform and job retention - A multi-site assessment.”

Foundation-administered project: $10,000 toward administrative costs associated with hosting meetings on nonprofit employment providers.

Foundation-administered project: $129,900 toward administrative costs associated with an exploration to improve the effectiveness and reach of employment and training organizations.

Foundation-administered project: $227,000 toward administrative costs associated with a feasibility study for a new investment strategy for nonprofit job placement and training providers.

Johns Hopkins University, Baltimore, Maryland: $50,000 for use by its Institute for Policy Studies to support research on the implications of welfare reform for low-income housing residents.

Manpower Demonstration Research Corporation, New York, New York: $18,668 to support a workshop in London on conducting research pilots or demonstrations, focusing on areas of employment and welfare reform.

Manpower Demonstration Research Corporation, New York, New York: $20,000 to support a conference to foster a transatlantic dialogue on welfare reform, work and income security.


New School University, New York, New York: $20,000 to support a project examining the role of immigrant service providers in low-income New York City neighborhoods and the impact of welfare reform on those organizations.

University of Maryland, Baltimore County, Baltimore, Maryland: $49,987 for use by its Maryland Institute for Policy Analysis and Research to conduct a meta-analysis of voluntary government-training programs.

Urban Institute, Washington, D.C.: $100,000 to support the first phase of “Assessing the New Federalism,” a national project to monitor and assess the shift in United States social programs from the federal government to the states.

EDUCATION AND TRAINING

Building Community

1998 appropriation of $1,450,000 in addition to previous funding for allocation by the officers to continue support for a set of interrelated activities designed to strengthen the community-building field and improve methods for evaluating the impacts of local community-building initiatives.

Aspen Institute, Washington, D.C.: $100,000 to support its Roundtable on Comprehensive Community Initiatives.

City University of New York, New York, New York: $100,000 for use by its Howard Samuels State Management and Policy Center at the Graduate School and University Center to support research on the impact major infrastructure projects have on metropolitan and regional economies.

Cleveland Community-Building Initiative, Cleveland, Ohio: $75,000 to support its communication and data collection efforts.

Community Development Society of America, Milwaukee, Wisconsin: $10,000 to support its 1998 conference entitled, “Sustainability and community-Central connections.”


Institute for Educational Leadership, Washington, D.C.: $80,000 to explore existing and potential relationships between community-building and education reform efforts at the local level.

Urban Institute, Washington, D.C.: $200,000 to support the implementation of its National Neighborhood Indicators Project.

Urban Strategies Council, Oakland, California: $393,050 for general support.

Urban Strategies Council, Oakland, California: $175,000 for support of the National Community Development Support Center.

Community Building Policy Center, 1997 appropriation of $1,000,000 in addition to previous funding for allocation by the officers to continue support for the planning and launching of a community-building policy center.

Urban Institute, Washington, D.C.: $1,000,000 to support the planning and launching of a community-building policy center.

National Community Development Initiative, 1996 appropriation of $5,000,000 in grant funds for allocation by the officers to provide (a) three and final-round support for the National Community Development Initiative (NCDI), and (b) continued core support for two NCDI intermediaries, the Local Initiatives Support Corporation and the Enterprise Foundation.

Enterprise Foundation, Columbus, Maryland: $1,275,000 for the third round of the National Community Development Initiative.

Local Initiatives Support Corporation, New York, New York: $975,000 for the third round of the National Community Development Initiative.
improve the employment prospects of inner-city residents and, in the longer term, help to revitalize urban communities and establish more broadly-based prosperity.

Center for Community Change, Washington, D.C. $5,000 toward general support

Center for Community Change, Washington, D.C. $50,000 toward its Coalition on Human Needs project

Children's Defense Fund, Washington, D.C. $1,000,000 to facilitate upgrading of its computer system, and to support its litigation activities.

Children's Defense Fund, Washington, D.C. 1998 appropriation of $300,000 to provide a final year of support for its Black Community Crusade for Children.

Children's Defense Fund, Washington, D.C. 1998 appropriation of $500,000 to continue core support for its efforts to improve public policies affecting children.

Community Planning and Action Program 1993 appropriation of $2,000,000 in addition to previous funding for allocation by the officers to provide terminal support for community planning and action projects dedicated to reducing persistent poverty and for a national network of community-based initiatives focused on informing policymakers about urban poverty strategies.

Urban Strategies Council, Oakland, California $54,517 to support its Coalition on Human Rights, Boston, Massachusetts

for U.S. and the related analysis of the National Congress for Community Change, Washington, D.C.

New York $70,000 to support the development of its new Policy Division.

National Committee for Responsive Philanthropy, Washington, D.C.: $30,000 to support a study of the level of infrastructure development of progressive policy and advocacy organizations in five states.

ADVANCING BASIC RIGHTS

Mexican American Legal Defense and Educational Fund, Inc., Los Angeles, California

1998 appropriation of $1,000,000 to facilitate upgrading of its computer system, and to support its litigation activities.

Mexican American Legal Defense and Educational Fund, Inc., Los Angeles, California

1998 appropriation of $300,000 to support a collaborative communications effort by six major civil rights legal organizations to increase public understanding of affirmative action.

Native American Rights Fund, Boulder, Colorado

1998 appropriation of $325,000 to continue support for the advocacy, litigation and technical assistance activities of its Human Rights Project.

GRANTS IN AID

Chicago Lawyers' Committee for Civil Rights Under Law, Chicago, Illinois: $50,000 to support its work in economic development and jobs creation.

Foundation-administered project: $145,000 toward administrative costs associated with the program review and evaluation of the Basic Rights portfolio.

Foundation-administered project: $73,000 toward administrative costs associated with the dissemination of a report on the program review and evaluation of the Basic Rights portfolio.

Institute for Puerto Rican Policy, New York, New York: $12,000 to support its merger transition with the Puerto Rican Legal Defense and Education Fund.

NAACP Legal Defense and Educational Fund, New York, New York: $25,000 to support its response to the "bailout urgency" movement in Alabama.

Puerto Rican Legal Defense and Education Fund, New York, New York: $70,000 to support the development of its new Policy Division.
Building District Infrastructures for Professional Development

1998 appropriation of $2,500,000 in addition to previous funding for allocation by the Rockefeller Foundation-administered project to help four school districts develop district-level reform policy to classroom practice by planning and implementing comprehensive professional development systems that improve instruction districtwide.

Albuquerque Public Schools, Albuquerque, New Mexico 
$21,650 to support a study of teacher quality in the Albuquerque Public School system

Albuquerque Public Schools, Albuquerque, New Mexico 
$30,000 to support an equity audit to examine student academic outcomes, access to learning opportunities, resource alignment to students needs, and district and school organization

Foundation-administered project: 
$12,000 toward administrative costs associated with the documentation of the leadership transition process in the San Diego City Schools and the application of the lessons learned to the Building District Infrastructures for Professional Development Initiative and its other sites

$65,102 toward administrative costs associated with the four Building District Infrastructures for Professional Development sites to develop and test site level theories of change and to develop tools to assess the overall effectiveness of the Rockefeller Foundation investments in each district

Institute for Research and Reform in Education, Torus River, New Jersey 
$37,000 to develop tools and activities that will help diverse constituencies plan, analyze, and evaluate social change and institutional reform initiatives

Learning Communities Network, Cleveland, Ohio 
$1,273,335 toward general support

San Antonio Independent School District, San Antonio, Texas 
$300,000 toward continued implementation of the Rockefeller Foundation initiative, Building District Infrastructures for Professional Development

School District, City of Flint, Flint, Michigan 
$706,419 to support the continued participation in the Rockefeller Foundation initiative, Building District Infrastructures for Professional Development

Southwest Educational Development Laboratory, Austin, Texas 
$77,151 toward a study of the teacher development system in the San Antonio Independent School District

Spreading the School Development Program and Philosophy 
1998 appropriation of 
$2,500,000 in addition to previous funding for allocation by the Rockefeller Foundation-administered project to support four school districts in their efforts to improve student learning outcomes in several urban school districts by deepening, expanding, and sustaining implementation of the School Development Program (SDP) and linking it to effective classroom strategies.

Board of Education of Prince George’s County, Upper Marlboro, Maryland 
$150,000 to support the Prince George’s County Corner School Development Program Regional Training Center

Community School District 13, Brooklyn, New York 
$150,000 toward continued support of system implementation of the Corner School Development Program

Foundation-administered project: 
$146,100 toward administrative costs associated with spreading the Corner School Development Program and philosophy

Guilford County Schools, Greensboro, North Carolina 
$150,000 to support efforts to establish the School Development Program through the district’s technology infrastructure and to develop learning materials for use in Guilford County and other SDP sites

Long Island University, Brooklyn, New York 
$1,40,841 to enable the Center for Professional Development, the Corner School Development Program and the Westbury School District to develop a comprehensive three-year plan to implement the School Development Program in all five Westbury schools with a special emphasis on literacy

New Haven Public Schools, New Haven, Connecticut 
$50,000 to support institutionalization of the Corner School Development Program in a cadre of schools through training and coaching provided by SDP and district staff and through networking with other Corner sites

San Diego City Schools, San Diego, California 
$87,550 to support a data collection process to analyze the effectiveness of the Corner School Development Program in the San Diego City Schools

San Francisco State University, San Francisco, California 
$70,000 to support the Corner School Development Program and philosophy

Yale University, New Haven, Connecticut 
$2,997,785 for use by its Yale Child Study Center for continued support for spreading the Corner School Development Program and philosophy

Teachers College, Columbia University, New York, New York 
1998 appropriation of $200,000 to sustain the teacher quality agenda set forth by the National Commission on Teaching and America’s Future through support for a strategic communications plan that will further the policy recommendations outlined in its 1996 report.

Grants in Aid
Council for Basic Education, Washington, D.C. 
$25,000 to support the Standards Based Teacher Education Project

College Entrance Exam Board, New York, New York 
$30,000 toward the costs of National Task Force on Minority High Achievement convening of focus group discussions on race, ethnicity and gender

Education Development Center, New York, New York 
$41,768 for use by its Center for Children and Technology to help leaders understand critical issues around technology as they affect community development and participation, and to help policymakers and industry developers “understand the public”

Success for All Foundation, Baltimore, Maryland 
$150,000 to provide support for core development staff to continue the development process in the teaching and learning of mathematics, science and social studies, and to scale-up significantly the dissemination of proven programs in reading, writing and language arts

Thomas Toch, Washington, D.C. 
$50,000 to enable him to write a book on The Edison Project and the Emerging Marketplace in American Public Education

OTHER
Democracy Roundtables 
1996 appropriation of 
$1,000,000 in addition to previous funding for allocation by the Rockefeller Foundation to support the Standards Based Teacher Education Project

Consensus Organizing Institute, Pittsburgh, Pennsylvania 
$112,200 to develop plans for neighborhood-level employment and training pilot projects in San Diego County

Mind Science Foundation, San Antonio, Texas 
$240,000 to support its project, The Common Enterprise/San Antonio
Building Democracy

Building Community

1998 appropriation of $1,450,000 in addition to previous funding for allocation by the officers to continue support for a set of integrated activities designed to strengthen the community-building field and improve methods for evaluating the impacts of local community-building initiatives.

American Land Institute, Portland, Oregon: $60,000 to support its Metropolitan Asian Program's study of social attitudes in American suburbs.

City University of New York, New York, New York: $100,000 for use by its Howard Samuels State Management & Policy Center at the Graduate School and University Center to support research on the impact major infrastructure projects have on metropolitan and regional community economies.

Foundation-administered project: $40,000 toward follow-up costs associated with a conference on community building held in Berkeley, October 20 to 24, 1997

National Conversation on Race: A Communications Program 1997 appropriation of $1,000,000 for allocation by the officers to develop and implement a communications effort that raises the visibility and enhances the effectiveness of innovative racial discourse during the year of national dialogue on race.


Community Renewal Society, Chicago, Illinois: $100,000 to support its Chicago Reporter's expanded investigative reporting on metropolitan issues of race and equity.

Community Renewal Society, Chicago, Illinois: $100,000 to support its Chicago Reporter's expanded investigative reporting on metropolitan issues of race and equity.

Foundation-administered project: $27,000 toward administrative costs associated with the study of an audience development model for the Foundation's National Conversation on Race initiative communications program.

Foundation-administered project: $250,710 toward administrative costs associated with the development of an approach to the Foundation's communications strategy for its National Conversation on Race initiative.

Mexican American Legal Defense and Educational Fund, Los Angeles, California: $75,000 to support its efforts to inform California's multi-ethnic communities around the consequences of the passage of Proposition 227.

National Conversation on Race: Policy and Communications 1998 appropriation of $1,000,000 for allocation by the officers to build new knowledge about how issues of race can be proactively introduced into public policy discourse.

Asian Pacific American Legal Center of Southern California, Inc., Los Angeles, California: $50,000 for its efforts to mediate and reported to consequences for Asian-American communities in California of the reordering process that will result from the year 2000 census.

Children Now, Oakland, California: $250,000 to support its Children and the Media Program's Race, Class and Media Focus.

Conservation Law Foundation, Boston, Massachusetts: $125,000 to support its Seaport project.

University of California, Los Angeles, Los Angeles, California: $50,000 for use by its Department of Political Science for its project, "Local news and public opinion concerning social welfare.

Youth Law Center, Washington, D.C.: $200,000 to support its Building Blocks for Youth project.

Next Generation Leadership 1998 appropriation of $1,750,000 in addition to previous funding for allocation by the officers to complete a two-year pilot project focused on creating a corps of 21st-century leaders with a sense of common purpose and the capability required to build a society committed to fairness and democratic principles, and with the confidence and skills to bring together others in pursuit of these goals.

SECOND-YEAR PROJECT GRANTS FOR THE PROGRAM'S FIRST COHORT:

Isabelas Basombrios, Detroit, Michigan: $10,000 to explore the process of art making, document artwork and investigate opportunities for the public exhibition of artwork to a larger audience.

Casa Atabex Aztex, Astoria, New York: $10,000 to build an informed and active network in the West Bronx section of the South Bronx of girls and women who are involved in promoting and expanding the network.

Center for Democracy Studies, Durham, North Carolina: $12,500 to engage Latino youth in documenting the stories and images of their families' and communities' experiences of crossing physical and metaphorical borders to settle in the New South area of Durham.


East Harlem School at Exodus House, New York, New York: $12,500 to create a pilot educational exchange project that will bring diverse groups of children together from Vermont and New York City in order to explore fundamental issues of democracy, increase understanding across race, class and geography, and ultimately to create a model to demonstrate the power of exchange programs to build a stronger sense of civic identity.

Family Resource Coalition of America, Chicago, Illinois: $12,500 to develop a parent education curriculum that will teach important parenting skills and encourage greater civic participation among members of the Family Resource Coalition of America network.

Foundation-administered project: $719,434 for administrative costs associated with the Next Generation Leadership program.

Insight Arts, Chicago, Illinois: $12,500 to develop and produce a collaborative performance workshop entitled "The Fear of Freedom," which will explore how personal and political liberation influence democratic and artistic practices.

Justice Matters, San Francisco, California: $12,500 to develop and implement a series of nonprofit professional development leadership trainings targeted toward community-based organizations' mid-level management staff.

Lawyers' Committee for Civil Rights of the San Francisco Bay Area, San Francisco, California: $12,500 to develop a curriculum to teach young people about their constitutional and human rights and to train a corps of youth advocates to work for social justice as organizers, public speakers and media spokespersons.

National Coalition to Abolish the Death Penalty, Washington, D.C.: $10,000 to develop an effective media campaign that seeks to promote public understanding of the case of sentencing children to death.

National Korean American Service and Education Consortium, Flushing, New York: $12,500 to produce an Asian Pacific American curriculum resource packet and pilot it in three designated New York City schools to develop a model of effective school-community partnership.

Public Advocates, San Francisco, California: $25,000 to create a model community-based civic education program that relies on a community-identified health issue as the catalyst for community engagement.

Public Allies: The National Center for Careers in Public Life, Milwaukee, Wisconsin: $25,000 to study and synthesize the results of a recent poll on leadership in communities, frame the synthesis for a national conference on leadership and facilitate that conference.
Strategic Alliance in Organizing and Policy Education, Los Angeles, California $12,500 to strengthen the capacities of community residents who are largely welfare recipients in the Echo Park and Hollywood areas of Los Angeles who can address the welfare policy issues in that city and provide leadership in organizing residents in their community.

University of Maryland at Baltimore, Baltimore, Maryland: $50,000 for use by its School of Law's Environmental Justice Legal Resources Center to help build the capacity of residents in low-income and minority communities by providing technical assistance needed to prepare community-development initiatives and plans.

Western States Center, Portland, Oregon: $10,000 to work with allied community, environmental, religious and immigrant rights organizations to develop a strategic dialogue and promote education on issues relating to immigration, environment and social justice, and new strategies to address underlying issues at stake in the western United States.

College Entrance Examination Board, New York, New York $30,000 toward the costs of its National Task Force on Minority High Achievement and the convening of focus group discussions on class, ethnicity and gender.

Strategic Alliance: Rockefeller Foundation - The California Endowment

1997 appropriation of $400,000 for allocation by the officers to help fund the design of a program for a strategic alliance between the Rockefeller Foundation and The California Endowment, aimed at building the capacity of low-income California communities to improve health and employment outcomes of their residents.

Foundations-administered project: $250,000 for administrative costs associated with the Strategic Alliance between the Rockefeller Foundation and The California Endowment.

Urban Institute, Washington, D.C.: $25,674 to generate a report analyzing how neighborhood conditions may affect residents' health and employment prospects.

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Biosafety and Intellectual Property Rights
1998 appropriation of $80,000 in addition to previous funding for allocation by the officers to help developing countries implement effective systems for dealing with the biosafety and intellectual property rights issues entailed in the use of plant genetic engineering and its products.

International Service for the Acquisition of Agri-Biotech Applications, Ithaca, New York $50,000 toward the costs of Biotechnology Capacity Building in Support of Its Papaya Biotechnology Network of Southeast Asia and Field Trials of Transgenic Papayas

Michigan State University, East Lansing, Michigan $5,000 toward the costs of a workshop on the impact of intellectual property rights on international trade and agriculture in east Africa, held in Kampala, Uganda, in January 1999.

National Center for Genetic Engineering and Biotechnology, Bangkok, Thailand $3,000 toward the cost of meetings to evaluate the progress of the national rice biotechnology program at Thailand and review requirements for the release of transgenic rice in this region

Stockholm Environment Institute, Stockholm, Sweden $20,000 for the "Chinese Risk Assessment/Management Workshop to Facilitate the Evaluation of Environmental Release and Trade of Transgenic Organisms."

University of the Philippines, College, Laguna, Los Baños, Philippines $7,100 to enable Luz B. Opoa, selected by the University, to participate in the Intellectual Property Rights and Technology Transfer Internship Program held July 25 to August 5, 1998, at Michigan State University, East Lansing.

Biotechnology for Marginal-Land Food Crops
1998 appropriation of $600,000 in addition to previous funding for allocation by the officers to continue building and strengthening biotechnology research networks working on tropical food crops grown on marginal lands.

Emmanuel Okogbenin, Ibadan, Nigeria $12,000 toward the costs of research on the genetic mapping of cassava at the International Center for Tropical Agriculture

Hebei Academy of Agricultural and Forestry Sciences, Shijiazhuang, China $42,000 for a study of mapping key genes of agronomic importance in root-knot nematode, S. italica, to be undertaken by Biotechnology Career Fellow Wang Zhihan, under the direction of M. D. Gable, John Innes Centre, Norwich, England

International Center for Tropical Agriculture, Cali, Colombia $25,000 toward the costs of the Fourth International Meeting of the Cassava Biotechnology Network, held in Brazil in November 1998.

International Center for Tropical Agriculture, Cali, Colombia $170,000 for research on the construction of a molecular map of cassava, the development of PCR-based markers, and their use in cassava improvement.

Kasetsart University, Bangkok, Thailand $18,600 to enable staff member Yeetih Dabbahbuddata, selected by the University, to receive training in cassava biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scopps Research Institute, La Jolla, California

Swiss Federal Institute of Technology, Zurich, Switzerland $60,000 for research on genetically engineered disease resistance in cassava.

Tarlnt National University, Cambodia, India $37,956 for research on the genetic engineering of sorghum for resistance to fungal diseases.

University of Bath, Bath, United Kingdom $20,000 to enable Hongying Lu, selected by the University, to receive training in cassava biotechnology at the International Laboratory for Tropical Agricultural Biotechnology/Scopps Research Institute, La Jolla, California.

University of Zimbabwe, Harare, Zimbabwe $5,200 to enable staff member P. Tongonga, selected by the University, to conduct research in molecular genetics at Purdue University, West Lafayette, Indiana.

Winrock International Institute for Agricultural Development, Monrovia, Arkansas $28,122 for use by its On Farm Productivity Enhancement Program for research on the development of cassava varieties resistant/tolerant to African mosaic virus disease.

Intelectual Property Rights 1998 appropriation of $2,500,000 for allocation by the officers to help the developing-world public-sector agricultural research system interact more productively with the private sector, especially on intellectual property rights.

Center for the Application of Molecular Biology to International Agriculture (CAMBIA), Canberra, Australia $450,000 toward the costs of establishing and operating an Intellectual Property Strategic Office at CAMBIA to serve as an intellectual property resource for international agricultural biotechnology.

North Korean Agriculture 1997 appropriation of $710,000 for allocation by the officers to train North Korean agricultural scientists and to identify United States and other Asian experts and nongovernmental organizations willing to cooperate in helping North Korea address key agricultural issues over the medium term.

Atlantic Council of the United States, Washington D.C. $36,000 in support of a visit to the United States of a North Korean delegation of poultry technology experts.

Carter Center, Atlanta, Georgia $238,000 toward the establishment of a project aimed at strengthening the capacity of the Democratic People's Republic of Korea to address its long-term food security problems.

Foundation-administered project: $51,000 to support activities related to rice-biotechnology training for North Koreans.

Rice Biotechnology 1998 appropriation of $4,175,000 in addition to previous funding for allocation by the officers to continue support for the Foundation's International program on rice biotechnology.

Biotechnology Research Center, Beijing, China $44,700 for a study of the regulation of expression of the rice waxy (Wx) gene.

Chinese Academy of Sciences, Beijing, China $50,000 for use by its Shanghai Institute of Plant Physiology and Biochemistry for research on recombinant DNA technology.

Chinese Academy of Sciences, Beijing, China $6,500 for use by its Institute of Genetics toward the costs of a study entitled, "Developing and Utilizing Agricultural Technology in China: An Inquiry into Constraints Facing R&D in the World's Largest Nation."

Chinese Academy of Agricultural Sciences, Beijing, China $50,000 for use by its Center for Agricultural Policy, toward the costs of a study entitled, "Developing and Utilizing Agricultural Technology in China: An Inquiry into Constraints Facing R&D in the World's Largest Nation."

Chinese Academy of Sciences, Beijing, China $6,240 for use by its Shanghai Institute of Plant Physiology and Biochemistry for research on recombinant DNA technology.

Chinese Academy of Agricultural Sciences, Beijing, China $50,000 for use by its Institute of Genetics toward the costs of its Symposium on Rice Genetics held in August 1998 in Beijing.

Chinese Academy of Sciences, Beijing, China $50,000 for use by its Shanghai Institute of Plant Physiology and Biochemistry for research on the genetic mapping of varieties resistant/tolerant to African mosaic virus disease.

Chinese Academy of Sciences, Beijing, China $60,000 for use by its Institute of Genetics toward the costs of its 1998 International Rice Biotechnology Workshop to Facilitate the Development of New Rice Varieties, to be held in Hue City, Vietnam, in May 1998.
Tamil Nadu Agricultural University, Coimbatore, India $25,566 for research on influencing growth of transgenic rice lines in elite Indica cultivars

Tamil Nadu Agricultural University, Coimbatore, India $33,070 for research on the genetic engineering of rice for the management of fungal diseases

Tamil Nadu Agricultural University, Coimbatore, India $37,000 for research on mapping resistance genes to yellow stem blight

Tamil Nadu Agricultural University, Coimbatore, India $38,800 for a study of gene pyramiding in elite Indica cultivars and enhancing transgene expression, to be undertaken by Biotechnology Career Fellow P. Balakrishnamurthy, under the direction of Paul Chrust, Laboratory for Transgenic Technology and Metabolic Pathways Engineering, John Innes Centre, Norwich, England

Tata Institute of Fundamental Research, Mumbai, India $10,000 toward the costs of the fourth meeting of the National Rice Biodiversity Network of India, held in Bangalore in October 1998

Texas Tech University, Lubbock, Texas $3,000 for research on drought resistance in rice

Texas Tech University, Lubbock, Texas $50,000 for research on the use of molecular tools for genetic analysis of root penetration in rice

Tribhuvan University, Kathmandu, Nepal $5,807 for use by its Institute of Agriculture and Animal Science for research on the characterization and improvement of Nepalese rice blight populations, conducted by Sundar M. Shrestha at the International Rice Research Institute, Manila, Philippines

University of California, Davis, California $57,052 for research on engineering rice varieties for resistance to bacterial leaf blast and blast

University of Costa Rica, San José, Costa Rica $61,332 for research on the molecular characterization of Nepalese rice blast blight

University of Delhi, New Delhi, India $20,000 for research on improved submergence tolerance of rice

University of Dhaka, Dhaka, Bangladesh $29,950 for research on the molecular manipulation of local Bangladeshi rice varieties to increase their tolerance to salt

University of Dschang, Dschang, Cameroon $4,780 to become leaders in the development of improved rice varieties resistant to bacterial leaf blight

University of Hyderabad, Hyderabad, India $67,920 for research on the anthocyanin biosynthetic pathway and disease resistance in rice

University of Ottawa, Ottawa, Canada $50,000 for research on rice specific promoters from rice, and the development of chimeric genes for insect resistance and novel breeding systems

University of the Philippines, College, Laguna, Los Baños, Philippines $28,706 for research on improving insect resistance in rice

University of the Punjab, Lahore, Pakistan $10,000 for research on the development of genetically engineered rice to common pests of rice through expression of Bacillus thuringiensis genes

University of the Punjab, Lahore, Pakistan $65,000 for use by its National Centre of Excellence in Molecular Biology for research on the development of genetic resistance to common pests of rice through expression of Bacillus thuringiensis genes

West Africa Rice Development Association, Bounkily, Ivory Coast $171,350 for research on application of biotechnology to the improvement of African rice varieties

West Africa Rice Development Association, Beaké, Ivory Coast $34,100 for a rice genome analysis of Nigerian populations using microsatellite markers to be undertaken by Biotechnology Career Fellow Thierry Cadalen, under the direction of Susan R. McCouch, Department of Plant Breeding and Biotechnology, Cornell University, Ithaca, New York

Zhejiang Academy of Agricultural Sciences, Hangzhou, China $82,100 for research on insect resistance evaluation in transgenic rice

Rockefeller Foundation Biotechnology Career Fellowships 1993 appropriation of $2,140,000 (joint with NSF and P50) in addition to previous funding for allocation by the officers to assist excellent young scientists based in the Third World to become leaders in the development and application of biotechnology that offers promise for improving the living conditions of poor people.

Peking University, Beijing, China $45,000 for a study of molecular cloning and analysis of hormonal-pytochrome regulated genes related to premature senescence and male sterility in rice plants, to be undertaken by Biotechnology Career Fellow Zhu Yuxian, under the direction of Peter Quail, Department of Plant Biology, University of California, Berkeley

GRANTS IN AID

Center for Research and Advanced Studies of the National Polytechnic Institute, Mexico City, Mexico $53,000 for research on the production and evaluation of virus-resistant plants

Commonwealth Scientific and Industrial Research Organization, Canberra, Australia $60,000 for research on preharvest sprouting

United States Department of Agriculture, Beltsville, Maryland $10,000 for use by the Plant Genome Research Program of the Agricultural Research Service for support of travel of Third World
<table>
<thead>
<tr>
<th>Institution</th>
<th>Program</th>
<th>Country</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>University of the Philippines, College, Laguna, Los Baños</td>
<td>postdoctoral fellowship for advanced study in plant genetics leading to the Ph.D. degree</td>
<td>Philippines</td>
<td>under the direction of Henry T. Nguyen, Plant Molecular Genetics, College of Agriculture, Texas Tech University, Lubbock</td>
</tr>
<tr>
<td>Tsukuba, Japan</td>
<td>postdoctoral fellowship for advanced study in plant genetics under the direction of Henry T. Nguyen</td>
<td>Japan</td>
<td>Department of Plant and Soil Sciences, Texas Tech University, Lubbock</td>
</tr>
<tr>
<td>Addis Ababa University, Ethiopia</td>
<td>postdoctoral fellowship for advanced study in plant genetics under the direction of T. J. Flowers, University of Zimbabwe</td>
<td>Ethiopia</td>
<td>Desert Research Institute, Maputo, Mozambique</td>
</tr>
<tr>
<td>Makerere University, Kampala, Uganda</td>
<td>postdoctoral fellowship for advanced study in plant genetics leading to the Ph.D. degree</td>
<td>Uganda</td>
<td>under the direction of Enrique C. Paller, Buzi, Qorongoza Plains, Mozambique</td>
</tr>
<tr>
<td>University of Hawaii, Honolulu, Hawaii</td>
<td>postdoctoral fellowship for advanced study in plant genetics under the direction of Hongbin Zhang</td>
<td>Hawaii</td>
<td>Department of Biology, University of Nebraska, Lincoln</td>
</tr>
<tr>
<td>Texas A&amp;M University, College Station</td>
<td>postdoctoral fellowship for advanced study in plant genetics under the direction of John E. Leach, Department of Plant Pathology, Kansas State University, Manhattan</td>
<td>Texas</td>
<td>Mande Semon, West Africa Rice Development Association, Bouaké, Ivory Coast</td>
</tr>
</tbody>
</table>
Robert A. Gilbert, Long Beach, California $130,350 renewal of Research Fellowship in Agricultural Sciences to enable him to continue his participation in a Foundation-funded project of maize research, while located at the Department of Agricultural Research in Lilongwe, Malawi.

Soil Management to Overcome Yield Constraints

1998 appropriation of $2,850,000 in addition to previous funding for allocation by the officers to identify and evaluate ways of overcoming soil-based limitations to sustainable, economic crop yields in principal agricultural soils of eastern and southern Africa.

Association for Better Land Husbandry, London, England $90,000 to continue support for the development of the Land Husbandry Network in Kenya.

Environmental Action Team, Kibale, Kenya $55,470 to continue support for adaptive research on biological alternatives for soil fertility management for maize production.

Government of Malawi, Lilongwe, Malawi $120,000 for use by The Office of the Vice President to support an integrated study of Malawi's agricultural sector.

Harvard University, Cambridge, Massachusetts $41,750 for use by the Harvard Institute for International Development to support the study, "The Changing Structure of Malawi's Food System: A Clarification of Issues and Evidence."

International Centre for Research in Agroforestry, Nairobi, Kenya $200,000 to support the implementation of decision support systems for soil nutrient replenishment in eastern Africa.

International Corps Research Institute for the Semi-Arid Tropics, Andhra Pradesh, India $94,600 to continue support for research in Malawi on methodology to develop practical soil fertility technologies through farmer research partnerships.

International Maize and Wheat Improvement Center, Mexico City, Mexico $259,200 to support the work of the Soil Fertility Network for Maize Based Farming Systems in Malawi and Zimbabwe.

International Maize and Wheat Improvement Center, Mexico City, Mexico $25,000 to support participation by maize scientists from national agricultural research programs at the Sixth Eastern and Southern Africa Regional Maize Conference.

Kenya Agricultural Research Institute, Nairobi, Kenya $222,000 to continue support for on-farm research in improved soil management at the Njaa Regional Research Center.

Kenya Agricultural Research Institute, Nairobi, Kenya $15,290 for use by its Embu Regional Research Centre to support the coordination of the long term soil organic matter project at Machakos and Mathari, Kenya.

Kenya Agricultural Research Institute, Nairobi, Kenya $65,000 for use by the National Dryland Farming Research Center - Kabarnet, to continue support for a collaborative research project with the International Center for Research in the Semi-Arid Tropics and the Agricultural Production Systems Research Unit of Australia on agricultural resource modeling and applications in semi-arid Kenya.

Kenya Agricultural Research Institute, Nairobi, Kenya $70,000 for use by its National Agricultural Research Laboratories to continue support for the coordination of soil management projects at sites in Kisii and Kajjansi.

Kenya Agricultural Research Institute, Nairobi, Kenya $75,000 to continue support for screening trials of legume species for adaptation to various agroecological conditions and land management systems in Kenya.

Ministry of Agriculture and Irrigation, Malawi, Lilongwe, Malawi $131,000 for use by its Department of Agricultural Research and Technical Services to continue support for maize agronomy research.

Ministry of Agriculture and Irrigation, Malawi, Lilongwe, Malawi $30,000 to support a Malawi grain legumes study.

Ministry of Finance, Malawi, Lilongwe, Malawi $30,000 to support a review of Malawi's soil fertility policy.

Robustulent Experimental Station, Hertfordshire, England $95,000 for use by its Departments of Statistics and Soil Sciences to support research and analysis of existing long-term agronomic trials in Africa.

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya $76,000 to support research to improve organic matter management in smallholder farming systems of Malawi and Zimbabwe through composting of crop residues and manure.

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya $330,000 to continue support for its networking and research activities in Africa.

University of Nairobi, Nairobi, Kenya $32,000 for use by its Department of Soil Science to support a phase of the Rhizobium Ecology Network of east and southern Africa.

University of Zimbabwe, Harare, Zimbabwe $90,000 for use by the Department of Soil Science and Agricultural Engineering to support the establishment of a nitrogen fixation facility.

University of Zimbabwe, Harare, Zimbabwe $90,000 to provide a professional supplement for a postgraduate student in the Department of Soil Science and Agricultural Engineering.

Village Enterprise Zone Associations International, Chicago, Illinois $50,000 to support the continued work of its project in Malawi on agricultural research and food security.

Paul Woomer, Nairobi, Kenya $60,000 to conduct research in Kenya and Uganda on nutrient replenishment, banana restoration and legume inoculant improvement.

GRANTS IN AID

African Crop Science Society, Rostock, South Africa, $20,000 toward the costs of planning for its 1999 Congress and publication of the Congress Journal.

Youssouf Camara, East Lansing, Michigan $15,380 to support research on the profitability of cassava-based production systems in west Africa.

Episodios Project, New York, New York $49,800 to support the preparation of a feasibility study for a documentary film on African development opportunities.

Foundation-administered project: $10,000 to explore the development of an economics-and-policy working group to further strengthen the Foundation's work on integrated soil nutrient management in the southern African region.

International Center for Tropical Agriculture, Cal, Columbia $11,700 to support the publication and distribution of a beam atlas for Africa.

International Centre for Research in Agroforestry, Nairobi, Kenya $12,300 to support the preparation and subsequent agronomic evaluation of Ugandan phosphates on P deficient soil in western Kenya.

International Food Policy Research Institute, Washington, D.C. $36,950 to support a project to enhance access to food policy and poverty literature in Lusophone countries through translation of key materials from English to Portuguese.

International Livestock Research Institute, Nairobi, Kenya $45,000 to develop, in collaboration with the International Maize and Wheat Improvement Center and other international agricultural research centers and national agricultural research systems, a spatially enhanced crop and livestock-production database for eastern and southern Africa.

International Livestock Research Institute, Nairobi, Kenya $5,000 to support, in collaboration with Makerere University, Department of Agricultural Economics, the preparation of a research proposal to examine dairy policy in Uganda.

International Network for the Improvement of Banana and Plantain, Paris, France $10,000 to support the publication of the proceedings of the Banana IPM Workshop, held in November 1998 in South Africa.

Kenya Agricultural Research Institute, Nairobi, Kenya $15,000 for use by the National Dryland Farming Research Center - Kabarnet, to continue support for a collaborative research project with the International Center for Research in the Semi-Arid Tropics and the Agricultural Production Systems Research Unit of Australia on agricultural resource modeling and applications in semi-arid Kenya.

Kenya Agricultural Research Institute, Nairobi, Kenya $15,000 to support research in Lilongwe, Malawi $30,000 to support a mid-term review of the Maize Improvement Center, Nairobi, Kenya $15,290 to support the coordination of soil management projects at sites in Kisii and Kajjansi.

Kenya Agricultural Research Institute, Nairobi, Kenya $15,000 for use by its Departments of Statistics and Soil Sciences to support research and analysis of existing long-term agronomic trials in Africa.

Kenya Agricultural Research Institute, Nairobi, Kenya $15,000 for use by the Agricultural Engineering to support and livestock-production database.

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International Network for the Improvement of Banana and Plantain, Paris, France $10,000 to support the publication of the proceedings of the Banana IPM Workshop, held in November 1998 in South Africa.
New School University, New York, New York $30,000 for use by the Graduate Faculty of Political and Social Science to support a conference, “Food, Nature and Culture.”

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya $15,000 toward the costs of a workshop on soil biology, held in May 1998.

University of Malawi, Zomba, Malawi $57,600 for use by the University of Malawi Libraries to support the final phase of its Automation Project.

University of Nairobi, Nairobi, Kenya $27,538 for use by its Faculty of Agriculture to improve research in plant breeding and training.

University of Zimbabwe, Harare, Zimbabwe $24,920 to support the strengthening of technical and management backup for the Soybean Promotion Programme.

Anne Wangai, Nairobi, Kenya $3,200 to support research on soil fertility management practices in smallholder zones in Africa.

FELLOWSHIPS

Agnes M. Abera, National Agricultural Research Organization, Kampala, Uganda fellowship for advanced study in entomology leading to the Ph.D. degree under the direction of Roy G. Van Onselen, Department of Entomology, University of Massachusetts, Amherst.

Margaret Apone, Makerere University, Kampala, Uganda fellowship for advanced study in plant molecular biology leading to the Ph.D. degree under the direction of L. R. Ekelen, Department of Crop Science, University of Guelph, Ontario, Canada.

David Patrick Bwamiki, Kawanda, Uganda fellowship for advanced study in soil management leading to the Ph.D. degree under the direction of the Chair, Department of Soil, Crop and Atmospheric Sciences, Cornell University, Ithaca, New York.

Yasuyuki Watanabe, Kawanda Agricultural Research Institute of the National Agricultural Research Organisation, Kampala, Uganda fellowship for advanced study in soil management leading to the Ph.D. degree under the direction of Michael G. Wiig, Department of Soil Science, North Carolina State University, Raleigh.

Paso Wananga, Chirwa, Foresty Research Institute of Malawi, Zomba, Malawi fellowship for advanced study in soil management/agroforestry leading to the Ph.D. degree under the direction of C. R. Blaikie, Department of Geography and Environmental Science, University of Nottingham, England.

James G. Gethi, Kenya Agricultural Research Institute, Mwama fellowship for advanced training in plant breeding leading to the Ph.D. degree under the direction of Margaret E. Smith, Department of Plant Breeding and Genetics, Cornell University, Ithaca, New York.

Eastonce Gwata, Crop Breeding Institute, Harare, Zimbabwe fellowship for advanced study in plant breeding leading to the Ph.D. degree under the direction of Ken Beane, Department of Agronomy, University of Florida, Gainesville.

Barnabas A. Kiiza, Makerere University, Kampala, Uganda supplementary fellowship for advanced study in agricultural economics leading to the Ph.D. degree under the direction of Robert A. King, Department of Applied Economics, University of Minnesota, St. Paul.

Edward P. Mazhangara, Chiredzi Research Station, Zimbabwe fellowship for advanced study in agricultural economics leading to the Ph.D. degree under the direction of Robert A. King, Department of Agricultural Economics, University of Minnesota, St. Paul.

David Mwauma Mbuyu, Kenya Agricultural Research Institute, Kisumu fellowship for advanced study in animal science leading to the Ph.D. degree under the direction of the Chair, Department of Soil Science, Cornell University, Ithaca, New York.

Caroline Nakarolling, Kawanda Agricultural Research Institute, Kampala, Uganda fellowship for advanced study in soil science leading to the Ph.D. degree under the direction of Roy G. Van Onselen, Department of Entomology, University of Manitoba, Canada.

Michael Ntulimzi Ntuli, Kenya Agricultural Research Institute, Mwala, Kenya fellowship for advanced study in soil science leading to the Ph.D. degree under the direction of Roy G. Van Onselen, Department of Entomology, University of Manitoba, Canada.

Anne Wangai, Nairobi, Kenya $3,200 to support research on soil fertility management practices in smallholder zones in Africa.

Autonomous University of Chiapas, Chapingo, Mexico $40,000 to support the project, Agroecology Research and Training Program in Oaxaca.

Autonomous University of Chiapas, Chapingo, Mexico $50,000 for use by its Agroforestry Center for Sustainable Development to support the project, “Agroforestry Research, Training, and Extension.”

Autonomous University of Chiapas, Chapingo, Mexico $70,000 to continue support for the project, “Agricultural Policy and Development.”

FELLOWSHIPS

Andres Ortiz Caton, Nayari, Mexico $15,000 for use by the Autonomous University of Yucatan, Merida, Mexico to support soil biology and legume-based green manure systems in the State of Yucatan.

Autonomous University of Chiapas, Chapingo, Mexico $50,000 to continue support for field research on maize-legume systems in the State of Chiapas.

Foundation-administered project $4,000 to support administrative costs associated with the project, “International Center for Information on Cover Crops, Tusculum, Honduras.”

International Center for Information on Cover Crops, Tusculum, Honduras $50,000 for use by the Autonomous University of Chiapas, Chapingo, Mexico to support the design and implementation of a farmer-to-farmer program for the diffusion of soil fertility management practices in the State of Tlaxcala and neighboring regions.

Youth Action Forum, Hyderabad, India $10,000 to support the costs of a workshop on sustainable resource management, held in Hyderabad, August to September 1996.

EXPLORE GRANTS IN AID

Center for the Application of Molecular Biology to International Agriculture, Carbondale, Illinois $35,000 toward the costs of a conference, “Designing a Research Strategy for Achieving Asexual Seed Production in Cereals,” held at the Bellagio Study and Conference Center, April 27 to May 1, 1998.

Cornell University, Ithaca, New York $32,000 for use by Agroforestry Research, Training and Extension.

National Resources Management

Natural Resources Management - Mexico 1996 appropriation of $2,200,000 in addition to previous funding for allocation of the officers to evaluate potentially sustainable, natural resource management systems for small farmers in diverse marginal areas of Mexico through collaborative partnerships of smallholders, researchers and members of governmental organizations.

Autonomous University of Chiapas, Chapingo, Mexico $40,000 to support its Agroecology Research and Training Program in Oaxaca.

Autonomous University of Chiapas, Chapingo, Mexico $50,000 for use by its Agroforestry Center for Sustainable Development to support the project, “Agroforestry Research, Training, and Extension.”

Autonomous University of Chiapas, Chapingo, Mexico $70,000 to continue support for the project, “Sustainable Development of Agroecosystems in the South of the State of Oaxaca,” jointly carried out with the University of Agriculture and Forestry Research.

Autonomous University of the Yucatan, Merida, Mexico $50,000 for use by the Department of Management and Conservation of Tropical Natural Resources.

Foundation-administered project $15,000 toward the costs of a series of seminars on soil fertility management practices in the State of Chiapas.

Youth Action Forum, Hyderabad, India $10,000 to support the costs of a workshop on sustainable resource management, held in Hyderabad, August to September 1996.

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Youth Action Forum, Hyderabad, India $10,000 to support the costs of a workshop on sustainable resource management, held in Hyderabad, August to September 1996.
Overseas Development Council, Washington, D.C. 1998 appropriation of $500,000 to assist the Council in its transition from an American Institution with a distinguished record of influencing U.S. development policies to an international policy analysis institution focused on globalization, equity and development.

Rockefeller University, New York 1998 appropriation of $585,860 in addition to previous funding to cover operating costs associated with the presentation and continuing use of Foundation records deposited at the Rockefeller Archive Center.

GRANTS IN AID

Adriano Olivetti Foundation, Rome, Italy $50,000 toward the costs of its project to analyze the historical linkage of American foundations in Europe and the development of European foundations.

Advertising Council, New York, New York $225,000 toward use in the Commitment 2000 project in learning how American attitudes about poor families are determined and begin to change the public's perception of parents who are poor.

Arizona State University, Tempe, Arizona $150,000 for "1848/1998 Transatlantic Thresholds," a research and education project commemorating the 1848 Treaty of Guadalupe Hidalgo and the end of the Spanish American War in 1898.


Foundation Center, New York, New York $200,000 toward its general operating expenses.

Foundation Center, New York, New York $250,000 to support the expansion and renovation of its headquarters library and administrative offices.

Foundation-administered project: $100,000 to cover the work of the Communications and Social Change project.

RF Matching Gift Program: $150,000 toward the RF Matching Gift Program.

Sound Portraits Productions, Inc., New York, New York $50,000 toward the costs of producing "The Sunshine Hotel" and "Death Row Dranes."

Tengboche Monastery, Tengboche Solu-Khumbu, Nepal. $37,000 toward the costs of establishing an eco-center that will provide information and education on sustainability in a fragile ecosystem.

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Equilibrium and Population, Paris, France $150,000 for a monthly newsletter on international population and reproductive health issues.

Family Care International, New York, New York $200,000 toward the cost of publishing a report on global progress toward implementing the recommendations of the 1994 International Conference on Population and Development.

Family Federation of Finland, Helsinki, Finland $165,470 to conduct an educational initiative on international reproductive health and family planning issues for parliamentarians, government officials, and the media.

Foundation-administered project: $157,000 for administrative expenses associated with the population policy dialogue and research program.

Foundation-administered project: $20,670 to commission the Futures Group to complete nine case studies of the demographic transition that tracks the movement from high to low fertility.

Foundation-administered project: $220,000 for a conference at Belgrade on global fertility transition theory.

Foundation-administered project: $24,000 for population policy research advisory group meetings.

Foundation-administered project: $48,000 toward the cost of a joint evaluation of the international educational activities of grantees in Australia, Canada, Germany, France, the Netherlands, New Zealand and the United Kingdom, being commissioned by the Hewlett-Packard and Rockefeller foundations, and the United Nations Population Fund.

Foundation-administered project: $650,000 for policy dialogue communications activities and projects in support of South-South cooperation.

Foundation-administered project: $67,300 to commission the Futures Group to develop an instrument by which to measure how commitment to, and the range of resources available for, family planning and reproductive health programs have changed in response to the Cairo Programme of Action.

Foundation-administered project: $75,000 toward the cost of a symposium at the Foundation’s Bethesda Center on population change and economic development that will provide technical information for subsequent UNFPA meetings on this subject and implementation of the Cairo Programme of Action.

Foundation-administered project: $8,500 for a meeting organized in collaboration with the United Nations Population Fund to discuss plans for a 1999 review of the implementation of the Programme of Action of the International Conference on Population and Development, held in 1994.

German World Population Foundation, Hannover, Germany $283,000 for a communications project that provides German policymakers with information on global population issues.

International Council on Management of Population Programmes, Kuala Lumpur, Malaysia $153,500 to foster collaboration in population and reproductive health among NGOs in Asia, and with the Center for Al
tact Family Studies, the Mexican Family Planning Association, and the Partners in Population and Development.

International Planned Parenthood Federation, London, England $74,770 to continue an educational initiative on international reproductive health and family planning issues for European parliamentarians, civil servants, the media and others.

Johns Hopkins University, Baltimore, Maryland $210,350 to continue a collaborative project with the University of Montreal on the relationship between declining child mortality and fertility rates in sub-Saharan Africa.

Major Stipes International, London, England $85,130 for a technical assistance project to improve the ability of NGOs in Europe, Australia and New Zealand to provide information about international population and development issues to government agencies.

Ministry of Health, Senegal, Dakar, Senegal $80,000 for a study by the National Family Planning Program to document and improve the quality and service affects continuation of family planning use in Senegal.

National Academy of Sciences, Washington, D.C. $250,000 for a panel study on population projections organized by its Committee on Population.

National Academy of Sciences, Washington, D.C. $75,000 toward the cost of convening a panel of experts that will recommend a global research agenda on population aging and promote the collection of data on aging issues in developing countries.

Population Action International, Washington, D.C. $250,000 toward the cost of publications and media activities designed to advance implementation of the Cairo Programme of Action.

Population Council, New York, New York $129,170 to complete and disseminate the results of a multyear study concerning the nature and causes of unmet need for family planning in Egypt, Ghana, Pakistan and Zambia.

Population Council, New York, New York $170,830 for the fourth year of a study concerning the relationships between high fertility and development issues to policymakers in developing countries, and to test the feasibility of the Almat Pop
culation Research Center establishing an urban-based longitudinal demographic and health research system.

Population Council, New York, New York $215,020 to continue a study documenting the impact of quality of care on women’s reproductive behavior.

Population Council, New York, New York $215,780 for a study of the health effects of rapid urbanization and population growth in developing countries, and to test the feasibility of the Almat Population Research Center establishing an urban-based longitudinal demographic and health research system.

Population Council, New York, New York $50,000 for a study of gender and reproductive health in India and Pakistan.

Population Council, New York, New York $75,570 for the publication of a supplement to its Population and Development Review containing papers from a Belagoo conference on global fertility transition theory.

Population Reference Bureau, Washington, D.C. $15,000 for an assessment of the design and implementation of Japanese international assistance for population and reproductive health programs.

Portuguese Family Planning Association, Lisbon, Portugal. $116,440 for an informational project for Portuguese government officials and opinion leaders concerning international reproductive health and population issues.

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New York, New York $5,000 to encourage regional collaboration in reproductive health and rights, for women and girls in Africa designed to improve women's health and reduce fertility.

United Nations Population Fund, New York, New York $140,000 to support reproductive health and rights, for women and girls in Africa designed to improve women's health and reduce fertility.

Princeton University, Princeton, New Jersey $97,360 for a study at its Office of Population Research on birth intervals in sub-Saharan Africa.

Save the Children, Westport, Connecticut $99,940 for a project to inform European community development agencies about the Cairo Programme of Action and to encourage them to join in public education efforts designed to improve the quality and availability of reproductive health services around the world.

Swedish Association for Sex Education, Stockholm, Sweden $65,000 for an educational initiative concerning the Cairo Programme of Action, particularly adolescent reproductive health and rights, for Swedish opinion leaders, the media and government officials.


University Research Corporation (Bangladesh), Dhaka, Bangladesh $47,310 for a follow-up survey in Bangladesh to learn whether women who expressed an intention to use family planning five years ago have used it, and to study the issues surrounding use and nonuse of contraception among this population.

World Bank, Washington, D.C. $50,000 for use by its Economic Development Institute for a seminar in Dhaka on Asia's new demographic realities and a seminar in Nairobi on integrating reproductive health services in sector-wide health reform programs.

World Population Foundation, Netherlands, Haren, Netherlands $400,680 for three projects designed to mobilize European donor assistance for reproductive health and family planning programs in developing countries.

Policy Research in Sub-Saharan Africa 1998 appropriation of $500,000 in addition to previous funding for allocation by the offices to support population research in Africa designed to improve policymakers' understanding of the current unmet demand for family planning and reproductive health services in their countries and provide them with information on how to design services that improve family health and reduce fertility.

International Union for the Scientific Study of Population, Utrecht, Netherlands $100,000 toward the cost of a seminar in Nairobi on reproductive change in sub-Saharan Africa, held in collaboration with the African Population Policy Research Center.

Kathleen Kahn, Johannesburg, South Africa $16,100 to prepare a report on field sites supporting longitudinal community-based demographic and health research in Africa, Asia and Latin America that would be used to enhance collaboration among them.

Ministry of Health, Ghana, Accra, Ghana $145,830 toward the cost of the Navrongo Health Research Centre's demographic surveillance system.

Ministry of Health, Ghana, Accra, Ghana $60,000 to enable the Navrongo Health Research Centre to engage a senior scientist for its demographic surveillance system.

Ministry of Health, Ghana, Accra, Ghana $51,300 for use by its Navrongo Health Research Centre to explore the possibility of forming a network of field stations investigating health and population issues in Africa, Asia and Latin America.


University of Pennsylvania, Philadelphia, Pennsylvania $100,000 for use by its Population Studies Center for the African census analysis project.

U.S. International Population Assistance 1997 appropriation of $500,000 in addition to previous funding for allocation by the offices to support population research in Africa designed to improve policymakers' understanding of the current unmet demand for family planning and reproductive health services in their countries and provide them with information on how to design services that improve family health and reduce fertility.

Communications Consortium Media Center, Washington, D.C. $125,000 for general support.

GRANTS IN AID

Centre for African Family Studies, Nairobi, Kenya $40,000 to encourage regional collaboration in reproductive health and rights, for women and girls in Africa designed to improve women's health and reduce fertility.

Family Care International, New York, New York $50,000 for dissemination and communications activities associated with its five-year program of action to mark the 10th anniversary of the Safe Motherhood Initiative.

Foundation-administered project: $45,000 for administrative expenses in connection with an application by the Health and Population Sciences division of the North American Foundation for the Advancement of Science to support a conference on "Food, Nature and Culture: The Continuing Dream."
Chinese Academy of Sciences, Beijing, China $10,700 for use by the Institute of Zoology for a workshop to increase reproductive health options for poor women by improving communication between Chinese researchers working in the field of contraceptive development and the pharmaceutical industry.

Chinese Academy of Sciences, Beijing, China $20,900 for use by its State Key Laboratory of Reproductive Biology for studies on the molecular and cellular mechanisms of implantation and fetal regression in primates as part of a network on implantation research in collaboration with the World Health Organization.

Foundation-administered project: $45,000 for a meeting at the Foundation's Bellagio Center on the application of molecular pharmacology to the study of post-menopausal sperm activity.

Latin American Program for Training and Research in Human Reproduction, Mexico City, Mexico $300,000 for a project to strengthen human resources for biomedical research and fertility regulation, and foster collaboration between the public and private sectors.

Medical Research Council, London, England $119,000 for use by its HIV Clinical Trials Centre to evaluate the efficacy of vaginal microbicides in the macaque.

Foundation-administered project: $45,000 for a study with the University of California, San Francisco, to explore the development of vaginal microbicides/contraceptives.

Prince Henry's Institute of Medical Research, Clayton, Australia $205,000 for a study of novel endometrial targets for post-coital contraception as part of a network on implantation research in collaboration with the World Health Organization.

Rockefeller University, New York, New York $189,980 for a study of dendritic cells as targets for microbicides to block the mucosal transmission of HIV, as part of a network geared toward the development of vaginal microbicides/contraceptives.

Rush Presbyterian-St. Luke's Medical Center, Chicago, Illinois $146,950 toward the cost of developing vaginal contraceptives that provide protection against sexually transmitted diseases.

University of California, Davis, Davis, California $210,010 for students in the Swine/virus model to define the biochemistry of heterosexual HIV transmission, as part of a network geared toward the development of vaginal microbicides/contraceptives.

University of Technology Aachen, Aachen, Germany $300,000 for development of a biochip specific contraceptive as part of a network working on implantation research in collaboration with the World Health Organization.

GRANTS IN AID

Banaras Hindu University, Varanasi, India $4,650 to continue a study of the causes of desired ovulation in a species of bats and in women who suffer from polycystic ovarian disease.

Center for Research and Services Related to Human Reproduction, Salvador, Brazil $40,000 for a symposium on microbicide/barrier methods of contraception to be held at the 10th World Congress on Human Reproduction.

Collegue de France, Paris, France $5,000 toward the cost of a colloquium about rapidly changing population dynamics in industrialized countries entitled "Contraception: Constraint or Freedom?".

International AIDS Vaccine Initiative, New York, New York $1,250,000 for continued funding of its activities to ensure development of safe, effective, preventive HIV vaccines for use throughout the world.

Peking Union Medical College, Beijing, China $30,000 for a study of sperm activity as a focus for male contraception at its National Institute of Medical Research and Population Planning.

Population Council, New York, New York $50,000 for a multicenter collaborative study on male fertility regulation.

Population Council, New York, New York $50,000 for the development of a protocol to test a medical monosodium urate regulation product designed to improve the health of women in developing countries.

Reproduction Research Institute, Arlington, Virginia $50,000 for general support.

Tides Center, San Francisco, California $75,000 for its project, the Alliance for Barrier Microbials, that will foster and facilitate the development of products that protect against sexually transmitted diseases.

RESEARCH IN TREND: REPRODUCTIVE HEALTH

HIV in the Developing World

1997 appropriation of $2,000,000 (joint with HS) in addition to previous funding for allocation by the officers to support the search for an HIV vaccine to prevent transmission to the population. As part of a network working on intervention of HIV/AIDS in China, $250,000 for continued funding of its activities to ensure development of safe, effective, preventive HIV vaccines for use throughout the world.

Improving Reproductive Health Service Delivery

1997 appropriation of $5,000,000 (joint with HS) in addition to previous funding for allocation by the officers to support research in selected developing countries to identify sustainable models to improve the reach and quality of reproductive health services, especially for neglected populations and services.

Ashish Gram Rachna Trust, Panchkula, India $81,000 for use by its Institute of Health Management, Panchkula, to develop, monitor, and evaluate a rural reproductive and child health program through a rural project implemented in a small community in Panchkula.

Burkiné Faso Association for Family Well-Being, Ouagadougou, Burkina Faso $89,740 for a community-based study on family planning in the context of STIs and HIV/AIDS, in a rural setting in Ouagadougou.

Foundation-administered project: $156,079 for a Foundation workshop on policy options for developing countries to improve their reproductive health services.

Foundation-administered project: $177,000 for administrative costs associated with implementing the reproductive health services program.

International Center for Research on Women, Washington, D.C. $142,000 to collaborate with researchers in India in conducting a dissemination conference and an intervention proposal development workshop, in connection with documentation research projects on adolescent sexuality and fertility.

Ministry of Health, Burkina Faso, Ouagadougou, Burkina Faso $135,950 to conduct a community-based study in collaboration with the Population Council (Ouagadougou), to document and understand behavior of youth on the context of STIs and HIV/AIDS in a rural setting in Yalenga Province.

Ministry of Planning, and Economic Development, Uganda, Kampala, Uganda $30,000 for use by its Population Secretariat for new activities designed to build capacity within the District Planning Units of these districts to collect, analyze, disseminate, and utilize information and data about adolescent reproductive health for program development and implementation, and to build capacity at the Secretariat for policy analysis and coordination.

Population Council, New York, New York $100,000 to enable its New Delhi regional office to expand its work in collaboration with the Indian government on reproductive health services and family planning.

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School of Hygiene and Tropical Medicine to link adolescent sexual activity to reproductive health and fertility outcomes in Mali and Burkinabe Faso.

University of Ouagadougou, Ouagadougou, Burkinabe Faso  
$42,120 for a community-based study to be conducted by its Research and Training Unit in Demography and the Association pour la Promotion de la Jeunesse Africaine et le Développement on social factors influencing the sexual behavior of adolescents in the context of HIV/AIDS in Burkinabe Faso.

World Health Organization, Geneva, Switzerland  
$350,000 for activities to be conducted in collaboration with UNICEF and working groups from six countries to establish which factors related to country level programming for adolescent health are most useful to measure, how best to collect and use the data for monitoring and evaluation, and to conduct local/district level field tests.

United Nations Children's Fund, New York, New York  
$159,950 for activities to be conducted in collaboration with the World Health Organization and working groups from six countries to establish which factors related to country level programming for adolescent health are most useful to measure, how best to collect and use the data for monitoring and evaluation, and to conduct local/district level field tests.

University of Ouagadougou, Ouagadougou, Burkinabe Faso  
$22,690 for a study to be conducted by its Research and Training Unit in Demography in collaboration with the Institute of Hygiene and Tropical Medicine to link adolescent sexual activity to reproductive health and fertility outcomes in Mali and Burkinabe Faso.

University of Hanoi, Hanoi, Vietnam  
$275,000 for continued support of its Public Health Schools Without Walls program activities.

Liverpool School of Tropical Medicine, Liverpool, England  
$50,000 to produce a new edition of "A Short Textbook of Preventive Medicine for the Tropics," which incorporates major developments in the public health field since 1990.

Makerere University, Kampala, Uganda  
$299,567 for use by its Institute of Public Health for its Master's in Public Health program.

Makerere University, Kampala, Uganda  
$28,366 to enable George Penko to complete his doctoral studies at Johns Hopkins University as part of a capacity building component under the Public Health Schools Without Walls program.

Makerere University, Kampala, Uganda  
$58,103 for a workshop on the training of trainers in problem-based learning methodology for the Public Health Schools Without Walls initiative.

McMaster University, Hamilton, Canada  
$54,300 for a workshop on the training of trainers in problem-based learning methodology in Kampala, Uganda.

University of Zimbabwe, Harare, Zimbabwe  
$225,322 for continued support of its Public Health Schools Without Walls Master's in Public Health training program.

University of Zimbabwe, Harare, Zimbabwe  
$35,105 in support of a field supervisors' workshop organized by its Public Health Schools Without Walls Master's in Public Health training program.

Chulalongkorn University, Bangkok, Thailand  
$24,925 for use by its College of Public Health to develop, test and modify 80 to 100 educational modules based on public health problem solving common in developing countries.

Foundation-administered project:  
$125,000 for the annual meeting and other collaborative educator activities among the Public Health Schools Without Walls sites.

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Arts and Humanities

Understanding Diversity

El Fidelescon para la Cultura Méxica/U.S.A. (U.S.-Mexico Fund for Culture), Mexico City, Mexico
$375,000 in addition to previous funding for release by the officers to continue a program of support for collaboration, exchange and interaction between U.S. and Mexican artists and scholars.

Institute of International Education, New York, New York
$375,000 in addition to previous funding to improve and increase the role of American artists in international visual-arts exhibitions and performing-arts festivals throughout the world.

Latin American Network of Independent Producers of Contemporary Art (La Red Latinoamericana de Productores Independientes de Arte Contemporáneo), Buenos Aires, Argentina
$200,000 in addition to previous funding to facilitate international interaction among Latin American artists and independent arts organizations.

Multi-Arts Production Fund
1998 appropriation of $350,000 in addition to previous funding for allocation by the officers to support creative artists in the performing arts whose work reflects the boldest explorations in intercultural and/or international representation.

American Conservatory Theatre Foundation, San Francisco, California
$10,000 to support the development of "Balthazar," a play by Octavio Solis.

Austin Society, New York, New York
$25,000 to support the creation and production of "Forestwander," a music-theater work by theater artists Chen Shu-Zhong, composer John Battaglia, percussionist Brian Muenzen, and Chinese opera performer Zhou Long.

Bang On A Can, New York, New York
$25,000 toward the creation and production of a musical-sculptural work by composer Evan Ziporyn and Baltimore storyteller I Wayan Wija.

Bloomsburg Theatre Ensemble, Bloomsburg, Pennsylvania
$20,000 to support the creation and production of " Coal Project," a site-specific work in Red Hook, Brooklyn, by choreographer Martha Bowers and composer Ty Gerad.

Boys & Girls Clubs of Metro Atlanta, Atlanta, Georgia
$20,000 to support the development and production of "Girls &," a multimedia work by artists/writers/director David Dorfman.

Browne for Women in the Arts, San Francisco, California

Center for the Arts at Yerba Buena Gardens, San Francisco, California
$20,000 to support the research and development of "A Slight Varrance," a performance work by playwright/performer Bari David.

Children's Theater Company and School, Minneapolis, Minnesota
$20,000 to support the creation and production of a multimedia theater project based on the life and myth of Galileo by theater artists Kan Magols and Tony Brown.

City Lore: The New York Center for Urban Folk Culture, New York, New York
$25,000 for the creation and production of "Rumahumah," an evening-length multimedia performance by composers/performers Francisco Zamora Ceballos, Jesus Oppeda Pregnis, and Luis Busto.

Contemporary Dance Theatre, Cincinnati, Ohio
$25,000 to support the development of "At the Crossroads/Haegusai in Black," a multimedia work by composer钱币表演者/导演 Dr. Quan Chang, visual artist Thomas Phelps, and composers Charles Miller.

Dance Exchange, Takoma Park, Maryland
$25,000 to support the creation of "Hallelujah," a multidisciplinary work by choreographer Lea Lerman, composer Andy Teirstein, and the vocal group Utah.

Dance Theatre Etcetera, New York, New York
$25,000 to support the creation and production of "Safe Harbor," a site-specific work in Red Hook, Brooklyn, by choreographer Martha Bowers and composer Ty Gerad.

Dance Umbrella, Austin, Texas
$20,000 for the development and production of "The Architecture of Seeing," a multimedia work by choreographer Francesca Hoffauer and writer George Emilio Sanchez.

Dancing in the Street, New York, New York

Evidence, New York, New York
$20,000 to support the development and production of "The Shadow Catchers," a music-theater work by writer/performer Judith Jackson.

Helena Presents, Helena, Montana
$25,000 to support the creation and development of "When They Awake," a music-film project by composers Philip Asburn and Carol Arger, Mexicansides Lizardo Lamas, with Fito Haro, and directors Nicholas Voormans.

House Foundation for the Arts, New York, New York
$25,000 to support the development and production of a music-theater work by theater artist/composer Meredith Monk.

International Arts Relations, New York, New York
$20,000 to support the production of "Manoos for a Desperate Cansing," a theater work by playwright Maria Irene Fornes.

Japanese American Cultural and Community Centers, Los Angeles, California
$25,000 to support the development and production of an evening-length dance work, "In Between the Heartbeats," by visual/performers/choreographers Hidetaka Kasai, Hikaru Okadome, and composer Junio Ron.

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Kahilu Theatre Foundation, Hamakua, Hawaii $25,000 to support the creation and production of a multimedia event staged work for string quartet by composer P. Q. Phan and production designer Larry Neff

Kronos Performing Arts Association, San Francisco, California $25,000 to support the creation and production of a multimedia event staged work for string quartet by composer P. Q. Phan and production designer Larry Neff

La Peia Cultural Center, Berkeley, California $25,000 to support the creation and production of "The Alameda Project," a community-based site-specific work on the grounds of the former Alameda Naval Base by choreographer Pearl Ungben and composer Joel Ayala

La Peia Cultural Center, Berkeley, California $25,000 to support the development and production of "The Alameda Project," a community-based site-specific work on the grounds of the former Alameda Naval Base by choreographer Pearl Ungben and composer Joel Ayala

Luna Contemporary Ballet, San Francisco, California $25,000 toward the costs of commissioning a new ballet with music for Indian tabla and sarangi by choreographer Alton Long and composer/musicians Zakir Hussain and Kaivaly Arora

Live Art, New York, New York $20,000 toward the development and production of "Time After," a multimedia event staged work by choreographer Marentra Raming, composer PhD. Gatos, and playwright David Henry Hwang

Los Angeles Poverty Department, Los Angeles, California $25,000 to support the development and production of "Taking Back My Neighborhood," a site-specific multidisciplinary performance work by theater artists John Maalouf and Sara Shelton Mann

Margaret Jenkins Dance Studio, San Francisco, California $20,000 to support the creation and production of "Time After," a dance theater work by choreographer Margaret Jenkins, actress Olympia Dukakis, and designer Tom Bonanno

New Arts Foundation, Emeryville, California $25,000 for the creation and production of "Kuttumpalam," an evening length dance theater work by choreographer Nancy Karp and composer Paul Draxler

New York Foundation for the Arts, New York, New York $20,000 for the creation and production of "A Shadow of Forgotten Ancestors," an interdisciplinary dance work by choreographer Koosilja Hwang, composer Gregore Asch, set designer Mark Parrish, and the video group OVN

New York Shakespeare Festival, New York, New York $25,000 for the development and production of "Everybody's Ruby: Story of a Murderer in Florida," a play by Thurston Davis

Painted Body Arts Center, Philadelphia, Pennsylvania $10,000 for the development and production of "Tattoo," a dance-performative work by choreographer Pat Granae, composer Ellen Fullman, and visual artist Marilyn Lyser

Performance Zone, New York, New York $15,000 to support the creation and production of "De Fino," a multidisciplinary dance work by choreographer Margaret Gargue, composer Hahn Rowe, photographer Anna Ayala, and visual designer Alina Grosse

Piatesh, Washington Depot, Connecticut $25,000 to support the development and production of "Fooling Times," a multimedia event staged work by choreographer Marentra Raming, visual artist Marilyn Lyser, and composer Hahn Rowe

Playhouse Cleveland, Ohio $25,000 to support the development and production of "Time After," a multimedia event staged work by choreographer Marentra Raming, and visual artist Marilyn Lyser

Portofo Institute for Contemporary Arts, Portland, Oregon $25,000 to support the creation and production of "The Incredible Disappearing Woman," a multimedia event staged work by choreographer Paul Draxler, composer George Kuchar, and filmmaker Isaac Julien

Reich Music Foundation, New York, New York $20,000 to support the creation and development of "Three Tales," a three-act video opera by composer Steve Reich and video artists Betsy Konit

Ridge Street Theatre, New York, New York $25,000 to support the creation and production of a multi-media theater work by playwright Mac Wellman, composer Scott Johnson, director Bob McGrath, and designer Laurie Dior

Salvage Vanguard Theater, Austin, Texas $20,000 to support the creation and production of "Alajina Is Deyash in Night Vision," an opera by composer Fred Ho and playwright Ruth Margraf

San Diego Repertory Theatre, San Diego, California $30,000 for the development and production of "Culture Clash In Bordertown," an evening length, multimedia theater work by theater artists Richard Montoya, Herbert Siguenza, Rico Salinas, and director Sam Woodhouse

School of Visual Arts, New York, New York $20,000 toward the costs of commissioning and developing a deconstructed version of "Mother Courage," a multimedia theater work by writer Haai nomvule, composer Natan Sawin, and director John Wright

Seattle Opera, New York, New York $25,000 to support the development and production of "Footprints of War," a dance work by choreographer Yoko Ono and composer John Adams

St. Ann Center for Restoration and the Arts, New York, New York $25,000 for the development and production of "The Arrangement of Familiar Things," a multimedia event staged work by choreographer Richard resid, and visual artist Marilyn Lyser

Temple University, Philadelphia, Pennsylvania $25,000 for use by the Tyler School of Art for the development and production of "Geography," a site-specific multimedia event staged work by composer/performer Douglas Ewart

Trisha Brown Dance Company, New York, New York $25,000 for the development and production of "Off the Map," a dance piece by choreographer Trisha Brown, designer Richard Aeschliman, and conductor René Jacobs

Urban Bush Women (UBW), New York, New York $25,000 for the development of "Hair Stories," a character-driven performance piece by choreographer Jawole Willa Jo Zollar

Washington Performing Arts Society, Washington, D.C. $20,000 for the development of a multi-media theater work by composer Bernard Johnson Reagon and theater artist Souleymane Kolye

Whispering Voca, New York, New York $30,000 for the creation and production of "Cordura," a dance-theater work by choreographer dawn house, visual artist Bobbi Lee Cohen, and lighting designer Beverly Emmer
I encourage festivals and related Odyssey San Francisco California sustamability and civil society costs of a program of Rockefeller in addition to previous funding Puppet Theater m global soc/a/ an£/ curtura/ Chapel Hill, Chapel Hill, North Yerba Buena Gardens Cultural Cultural Council of Jackson Hei, Jackson, Wyoming. $30,000 for activities of the Hispanic Cultural Festival

Dance Theater Workshop, New York, New York $40,000 for planning activities of the “New Europe 1999 Consortium and Festival.”

EarthWays Foundation, Malibu, California $50,000 for planning activities of the 1999 World Festival of Sacred Music, the Americas.

Henson Foundation, New York, New York $50,000 for the activities of the 1998 International Festival of Puppet Theater

Idris Ackamoor and Cultural Odyssey, San Francisco, California $40,000 for activities of the 1999 “Africa-American Performance Art Festival.”

Institute of International Education, New York, New York $100,000 to support information and convening services of Arts International and the INROADS/ THE AMERICAS festival

Jacob’s Pillow Dance Festival, Lee, Massachusetts $50,000 for activities of the 1998 festival season.

Lincoln Center for the Performing Arts, New York, New York $50,000 for activities of “Lincoln Center Festival 98”

National Black Arts Festival, Atlanta, Georgia $50,000 for activities of the music programs of the 1998 festival season.

New Jersey Performing Arts Center Corporation, Newark, New Jersey $50,000 for activities of “Inventing America: Memory-Work-Spirit, A Festival of Pan African America.”

Point Community Development Corporation, New York, New York $30,000 for activities of the 1998 “All That Drama” theater conference and festival.

Spanish Theatre Repertory, New York, New York $30,000 toward the Humanities Programs of the CUBAETATRO project

Spoletto Festival U.S.A., Charleston, South Carolina $50,000 for the “Echoes of Africa” dance and music project of the 1998 festival season


Resident Humanities Fellowships 1998 appropriation of $2,600,000 in addition to previous funding for allocation by the officers to advance scholarship on global social and cultural issues relating to diversity, sustainability and civil society and to promote understanding across diverse communities.

Center for the Investigation of Central American Regions, Antigua, Guatemala $250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, “Beyond the Post-War: Identity Construction and New Political Subjects in Central America.”

Foundation-administered project: $100,000 for administrative costs of the Resident Fellowships in the Humanities.

Institute of Peruvian Studies, Lima, Peru $250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, “Globalization, Cultural Diversity, and Redefinition of Identities in Andean Countries.”

Rutgers University, New Brunswick, New Jersey $250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Institute for Research on Women entitled, “Gender/Race/Ethnicity Reconstructing the Local and the Global.”

University of California, Los Angeles, Los Angeles, California $250,000 toward the costs of a program of Rockefeller Resident Fellowships in the Humanities at the Center for Intercultural Performance entitled, “Cultural Collaboration - Examining Creativity in a Performance World.”

University of California, San Diego, La Jolla, California $250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for the Study of Race and Ethnicity.

University of Memphis, Memphis, Tennessee $250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for Research on Women entitled, “The Making of Race and Gender.”

University of North Carolina, Chapel Hill, Chapel Hill, North Carolina $250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for International Studies entitled, “Creating the International South.”

University of Oregon, Eugene, Oregon $250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Center for the Study of Women in Society entitled, “Ecological Conversations. Gender, Science, and the Sacred.”

University of Puerto Rico, San Juan, Puerto Rico $15,000 for use by the College of Humanities to extend the work of its Caribbean 2000 project through publication, increased Internet visibility, and the hosting of an international symposium focusing on interculturalism in Caribbean performance.

University of the Republic, Uruguay, Montevideo, Uruguay $250,000 toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities at the Centro de Estudios Interdisciplinarios Latinoamericanos entitled, “Cultural Policies at the End of the Century: State and Civil Society in a Time of Regional Integration and Globalization.”

Understanding Cultures through Museums 1998 appropriation of $1,000,000 in addition to previous funding for allocation by the officers to foster museum projects that explore group identities and intergroup connections.

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American Academy of Arts and Sciences, Cambridge, Massachusetts $25,000 toward the planning and publication of a special issue of "Dedalus," the journal of the American Academy of Arts and Sciences, focused on museums.

Arizona State University, Tempe, Arizona $50,000 toward the exhibition, "Contemporary Art From Cuba: Irony and Survival on the Utopian Island," at the Arizona State University Art Museum.

Asia Society, New York, New York $100,000 toward the exhibition, "Trade Out: New Chinese Art.

Birmingham Museum of Art, Birmingham, Alabama $50,000 toward the exhibition, "Dinis the Qajar Poet," at the Museum of the Islamic Art, in cooperation with the Ministry of Culture and Tourism of Iran.


Contemporary Art for San Antonio, San Antonio, Texas $10,000 toward the Latino Postcolonial Photobase residency and installation project.

Exit Art/The First World, New York, New York $25,000 toward the initial phase of the international contemporary art program "Futurama.

Foundation-administered projects: $155,000 to cover travel and meeting costs of three "Keynote" discussions on the current cultural climate.

Guadalupe Cultural Arts Center, San Antonio, Texas $35,000 toward the exhibition, "The Role of Paper Embroidery and Textile".

Mexican Fine Arts Center Museum, Chicago, Illinois $50,000 toward the exhibition "Calendario.

Mexican Museum, San Francisco, California $50,000 toward an exhibition of the work of Patris Valdez entitled, "Procesos Comunitarios.

Museo de las Americas, Denver, Colorado $25,000 toward the exhibition "1956, 1848, 1998: Conflicts, Conquests and Consequences.

Museum of Photographic Arts, San Diego, California $50,000 toward the exhibition, "The Modern Wife.

New Museum, New York, New York $100,000 toward support of the 1998 exhibition and program expenses.

Ohio Historical Society, Western Reserve Historical Society $75,000 toward the exhibition, "When the Spirit Moves: The Africanization of American Movement," at the National Afro-American Museum and Cultural Center.

Pueblo de Pojoaque, Santa Fe, New Mexico $10,000 for use by the Pojoaque Center toward the exhibition, "Hah Posh Me," at the Pojoaque Center.

Smithsonian Institution, Washington, D.C. $100,000 toward the exhibition, "Dinii the Great Godess," at the Arthur M. Sackler Gallery.

Smithsonian Institution, Washington, D.C. $50,000 toward continuing support for the online exhibition project, "Revealing Things.

Southeastern Center for Contemporary Art, Westolumbia, North Carolina $30,000 toward the exhibition of the community series entitled, "Emilio Manglano-Ovalle: The Garden of Delight.

Southwest Museum, Los Angeles, California $25,000 toward the exhibition, "Common Threads Native and Pueblo Textiles.


Storefront for Art and Architecture, New York, New York $40,000 in support of the Detroit Project titled, "Home Made in Detroit.

University of Maryland, College Park, Maryland $150,000 toward the exhibition, "The David C. Driskell Collection: Narratives of Twentieth Century African-American Art and Identity.

University of New Orleans, New Orleans, Louisiana $19,000 toward the symposium, "Boundaries, Barriers, and Bridges: Creating Inclusive Audiences for Southern Art," at the Ogden Museum of Southern Art.

University of South Carolina, Columbia, South Carolina $30,000 toward the catalogue for the exhibition, "I made this jar, the life and works of the enslaved African potter, Cave," at the McKissick Museum.

Walker Art Center, Minneapolis, Minnesota $50,000 toward the exhibition, "Unfinished History.

Yerba Buena Gardens Cultural Exchange, San Francisco, California $30,000 toward the Festival, "A Mexican Presence.

Understanding Diversity through Film, Video and Multimedia, 1998 appropriation of $1,000,000 in addition to previous funding for the administrative support of the Film, Video/Multimedia program, for the production of independent work exploring the conflicts, connections and commonalities of diverse communities.

Seoungho Cho, New York, New York $35,000 for "Desert Project," a video installation element of the project that is located on a barren desert landscape.

Tina DelFicortiano and Jane C. Wagner, New York, New York $35,000 for "Silent Voices," a documentary about women in the film industry.

Tina DelFicortiano and Jane C. Wagner, New York, New York $35,000 for "Silent Voices," a documentary about women in the film industry.

Cheryl Dunye, Los Angeles, California $35,000 for "The Poison Project," a narrative feature film about a woman's attempts to understand the memories of her traumatic relationship with her father.

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Women," a documentary about the long-term impact of war, as seen in America book series at the John "Envisioning Paradise A Conference California $35,000 for 'The Shadow DanceWorks, New York, New stuyvesant History, Brooklyn, Americans in Tennessee caught in a support of "La Gneta (The Fissure)," the "Performance Portraits" project Association Papers telemigrants working in "El Norte" toward the costs of the Leadership 199S appropriation of $300,000 challenges notions of illness and a two-part video installation that Creative Capital Foundation, Daughter Video Project " New York $35,000 for "Hospital Project," a video installation that challenges notions of illness and mortality George Kuchar, San Francisco, California $35,000 for "The Shadow People," an experimental narrative based on the life and work of John Keat, author of several books on the UFO phenomenon Anne Lewis, Whitesburg, Kentucky $35,000 for "Moonstowns," a documentary about poor and working class Mexicans and-Americans in Tennessee caught in a period of massive social change McGill University, Montreal, Canada $22,571 for use by its Centre for Research on Canadian Cultural Industries and Institutions to support the study, "Pathways to Innovation Culture, Creativity, and the Development of Human Capabilities in Information and Communications Technologies " Nina Menkes, West Hollywood, California $35,000 for "Heat Stroke," an experimental narrative film about two estranged sisters Alex Rivera, New York, New York $35,000 for "Why Cyrano," a meditation on the nature of technology and immigration, set in a future of telluric technology working in "El Norte" while their bodies remain in Mexico Maria Santiago Roa, Cuzco, Peru $50,000 in support of "Bashu'an Guo" (The Owner of the Mountain), " a three-video series that recounts the legends and traditions of the Zapotec culture Barbara Sonneborn, Berkeley, California $35,000 for "Regret to Inform," a documentary about the long-term impact of war, as seen through the eyes of Vietnamese and American widows of the Vietnam War Gerardo Salazar, Cuernavaca, Mexico $20,000 in support of "Trans sitio," a two-part video installation that explores the idea of origins and identity in a time when borders did not exist University of California, Berkeley, Berkeley, California $35,000 for use by its Pacific Film Archive to preserve and exhibit videotapes from the National Center for Experiments in Television Juan Francisco Urresti, Mexico City, Mexico $20,000 in support of "La Guerra (The Fissure)," a documentary about Mexico City in the years approaching the end of the millennium. Edin Velez and Chon Noriega, New York, New York $35,000 for "Of Every Hue and Cast Another Qrantmakers in the Arts, Universitv of Illinois Crncaeo and immigration, set in a future of United states Lee Massachusetts $40,000 Culture and Community Building McGill University, Montreal, Canada $22,571 for use by its Pacific Film Archive to he|P sma" dance companies explore achv|t|es Cultural Industries and Institutions New York, New York $35,000 for "Remembering Slavery " Order in the Americas " for its 1999 99 activities Institute of International Education, New York, New York $250,000 toward the costs of a Chicago $10,000 toward the costs of a conference entitled, "Touring of New Work Princeton University, Princeton, New Jersey $10,000 toward the costs of a conference entitled, "Environment, Paradise: A Conference on Tom Morrison's Vision, Art, and Imagination at the African-American Studies Program Seven Laws, New York, New York $22,000 for the "Demil's Daughter Video Project " The Society for the Preservation of Weeksville and Bedford Stayvesant History, Brooklyn, New York $35,000 toward the costs of stabilization and expansion activities University of California, Los Angeles, Los Angeles, California $250,000 to commemorate the Caribbean Series in The Marcus Garvey and Universal Negro Improvement Association Papers University of California, San Diego, La Jolla, California $35,000 toward the conference on "Collective Identi, Public Spaces, and Political Order in the Americas " University of Illinois, Chicago, Illinois $20,000 toward the costs of a post-Latin American Studies Association meeting entitled, "Mapping Latino/Latin American Chicago " Vivian Beaumont Theater, New York, New York $25,000 for the African Theater Project of the 1998 Directors Lab LIVING WITH DIVERSITY • Culture and Community Building 1998 appropriation of $50,000 in addition to previous funding for allocation by the officers to foster the role of culture in community building by identifying and developing arts and cultural indicators. Americans for the Arts, Washington, D C $25,000 to support the third year of the pilot phase of the Institute for Community Development and the Arts and the strategic planning process for the future of the Institute Social Science Research Council, New York, New York $250,000 for activities of its Research Planning Committee on the Arts
University of Chicago, Chicago, Illinois $15,000 to support a study of arts programs for children and youth in Chicago at its Chapin Hull Center for Children

Fortifying Civil Societies across Muslim Regions through Their Cultural Institutions

1998 appropriation of $1,000,000 in addition to previous funding for allocation by the officers to continue fostering a set of activities in Muslim societies where issues of pluralism are the subjects of public and policy discussion.

Al-Ittihad Al-Jadid Research Center, Amman, Jordan $28,829 toward the costs of publishing a book and other expenses related to the 1998 social history program.

ASHTAR for Theatre Productions and Training, Jerusalem, Israel $33,500 toward the costs of its production of "Abu Shaker's Affair '98".

Bilgi University, Istanbul, Turkey $242,000 toward the costs of the exhibition "Modernities and Memories: Recent Works from the Islamic World," held in Istanbul, October 6 to 30, 1998

Foundation-administered project: $100,000 toward meeting and consultancy expenses in connection with the Foundation's Muslim world initiative.

Foundation-administered project: $100,000 toward the costs of the exhibition "Modernities and Memories: Recent Works from the Islamic World," held in Istanbul, October 6 to 30, 1998.

International Association for the Study of Persian Speaking Societies, Stony Brook, New York $57,892 toward meetings of the Joint Planning Committee for Iran, India and Central Asia to discuss proposals for international cultural and scholarly projects dealing with issues of civil societies.

International Council of Museums, Paris, France $110,000 toward a workshop on the fight against illicit traffic of cultural property in Arab countries.

International Peace and Cooperation Center, Jerusalem, Israel $165,000 toward the costs of a research project on the mutual perceptions of Jews and Muslims.

Jerusalem Institute for Israel Studies, Jerusalem, Israel $165,000 toward the costs of a research project on the "Image of the Other."


The Legacy of Absence

1998 appropriation of $1,000,000 in addition to previous funding for allocation by the officers to foster a set of activities which explore how artists and writers assess the consequences of war and conflict for their societies.

Foundation-sponsored project: $75,000 toward meeting and consultancy expenses in connection with the "Legacy of Absence" project.

Foundation-sponsored project: $30,000 toward the costs of a series of meetings with Rutgers University to be used by the Jane Voorhees Zimmerli Art Museum for the production of 60 to 80 works of art from the Dodge collection for the "Legacy of Absence" project.

Oldenburg University, Oldenburg, Germany $51,742 toward the costs incurred in gathering Holocaust-related materials for an exhibition.

Partnerships Affirming Community Transformation

1998 appropriation of $600,000 in addition to previous funding for allocation by the officers to identify, support and learn from community partnerships that integrate the arts and humanities into their efforts to build bridges across racial and ethnic differences.

Appalachia, Whitesburg, Kentucky $35,000 toward the costs of a community partnership addressing the economic, social and environmental conflicts associated with forestry practices in Appalachia.

Appalachia, Whitesburg, Kentucky $65,000 toward the costs of a convening of PACT grantees and their partners to learn lessons about effective partnerships and different approaches to the work of art and social change.

Center for Arts Criticism, Minneapolis, Minnesota $49,087 for "Sisters in Leadership," a program that uses media arts production, writing and public presentations as a way to discuss racism and the economic and cultural factors that contribute to its growth.

Coalitions for Immigrant and Refugee Rights and Services, San Francisco, California $35,000 toward the costs of the "Transforming Institutions" project, a leadership training program for Latino and Chinese immigrant women.

East Bay Institute for Urban Arts, Oakland, California $50,000 for a yearlong arts and social change apprenticeship program for youth.

Esperanza Peace and Justice Center, San Antonio, Texas $50,000 toward efforts to bring diverse communities together who are working for social and economic change through workshops and cultural arts programming.

Foundation-supported project: $150,000 for the administrative costs related to the PACT program.

Pepation, New York, New York $45,000 toward the creation of a community arts project that will focus on issues of race within Puerto Rican communities in the South Bronx.

San Diego Repertory Theatre, San Diego, California $50,000 toward the costs of "Casa Calida," a series of community-based performances and exhibits that reflect the cultural diversity of the San Diego/Tijuana region.

Silva Tribe of Alaska, Sitka, Alaska $50,000 toward the costs of the "Heilheft Heart Totem Pole Project," a video, website's guide, Web site and series of related community discussions about elevation and cultural upheaval.

Village of Arts and Humanities, Philadelphia, Pennsylvania $50,000 toward the costs of a series of events that connect land, art making and garden design into the transformation of abandoned inner-city spaces.


Promoting African Civil Society through Cultural Initiatives

1998 appropriation of $1,100,000 in addition to previous funding for allocation by the officers to foster a civil society in Africa through support for cultural sector-building activities.

18th Street Arts Complex, Santa Monica, California $241,500 toward the support of residencies for two African artists.


Association de la Plume Noir, Paris, France $50,000 toward costs related to the participation of writers and artists in the 4th Literary Salon.

Bosten College, Chestnut Hill, Massachusetts $15,864 to be used by the Bellagio Publishing Network Research and Information Center toward the costs of its publications, research projects and other activities related to indigenous publishing in Africa and the Third World.
Role of Religion in the Civil Society
1998 appropriation of $800,000 in addition to previous funding for allocation by the officers to support humanities scholarship on the role of religion in building civil society and to help community utilize the intellectual and ethical assets of religion in finding common ground.

Life & Peace Institute, Uppsala, Sweden. $54,500 to support a case study documenting the role of Argentine religious institutions in that country’s transition to democracy and to destroy the cost of a series of seminars and conferences to discuss and disseminate study findings.

World Conference on Religion and Peace, New York, New York. $1,000,000 toward the costs of the religion and civil society program.

GRANTS IN AID

Asian Cultural Council, New York. New York. $50,000 toward continuing support of the Cambodian Artists Mentorship Program.


Network of Cultural Centers of Color, New York, New York. $50,000 to support the development and first phase implementation of its business plan.


EXPLORATION: INITIATIVES FOR EQUITY IN HEALTH

Initiatives for Equity in Health 1998 appropriation of $3,000,000 for allocation by the officers to conduct explorations that will enable Health Sciences to develop a sound and effective strategy, gain a realistic sense of how the strategy will materialize on the ground, and create a strong and self-sustaining program infrastructure.

Bangladesh Rural Advancement Committee, Dhaka, Bangladesh. $1,15,315 to organize and conduct a meeting called the Global Health Equity Initiative Phase One Exchange Conference in Bangladesh, held December 11-18, 1998.

Foundation-administered project: $255,000 to conduct an exploration on the feasibility of establishing a Global Health Watch.

Foundation-administered project: $254,750 for a series of activities in sub-Saharan Africa to ascertain national and regional priority health issues, and to identify opportunities for partnerships with government ministries, academic institutions, nongovernmental, community, and private organizations.

Hastings Center, Garrison, New York. $70,000 toward the costs of regional meetings and dissemination activities to investigate the international and American dimensions of health care systems, and the future of health care systems.

New England Medical Center Hospitals, Inc., Boston, Massachusetts. $69,020 to organize and conduct a meeting entitled, “Workshop on Society and Health: A Health Equity Perspective, as part of the Global Health Equity Initiative.

Tufts University, Medford, Massachusetts. $32,000 for the adaptation of a United States health policy tool known as the “benchmarks of fairness” for implementation in Mexico, Pakistan and Thailand.

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Ashish Gram Rachna Trust, Pachod, India: $81,000 for use by its Institute of Health Management, Pachod, to develop, monitor and evaluate a model reproductive and child health program through a target-free approach, using a slum setting in Pune-city.

Burkina Faso Association for Family Well-Being, Ouagadougou, Burkina Faso: $85,740 for a community-based study on the needs, attitudes, practices and sexual behavior of youth in the context of STDS and HIV/AIDS in a rural setting of Yalenga Province, Burkina Faso.

Foundation-administered project: $156,279 for a foundation workshop in east Africa for grantees and technical support partners conducting reproductive health intervention planning and research in Africa.

Foundation-administered project: $177,000 for administrative costs associated with implementing the reproductive health services research program.

International Center for Research on Women, Washington, D.C: $142,000 to collaborate with researchers in India in conducting a dissemination conference and an intervention proposal development workshop, in connection with documentation research projects on adolescent sexuality and fertility.

Ministry of Health, Burkina Faso, Ouagadougou, Burkina Faso: $136,690 to conduct a community-based study in collaboration with the Population Council (Ouagadougou), to document and understand norms and practices related to induced abortion and prevention of unwanted pregnancies in a rural area of Bazegna Province.

Ministry of Planning and Economic Development, Uganda, Kampala, Uganda: $30,390 for use by the Population Secretariat for new activities designed to build capacity within the District Planning Units of three districts to collect, analyze, disseminate and utilize information and data about adolescent reproductive health for program development and implementation and to build capacity at the Secretariat for policy analysis and coordination.

Population Council, New York, New York: $100,000 to enable its New Delhi regional office to expand its technical support to local public social and institutions undertaking reproductive health research.

Population Council, New York, New York: $860,460 to enable its staff in Hanoi and Bangkok to continue to provide technical assistance to Vietnamese NGOs and government agencies to develop research projects in reproductive health, and to assist UNICEF's Myanmar office with conducting research and training on critical issues in reproductive health in Myanmar.

Population Secretariat, Uganda, Kampala, Uganda: $154,250 for activities designed to build capacity within the District Planning Units of three districts to collect, analyze, disseminate and utilize information and data about adolescent reproductive health for program development and implementation, and to build capacity at the Secretariat for policy analysis and coordination.

Swasthya Project, New Delhi, India: $75,050 for a community-based intervention research project targeting adolescents, to improve HIV/AIDS and STDS prevention in the Tiranga area of New Delhi.

United Nations Children's Fund, New York, New York: $169,950 for activities to be conducted in collaboration with the World Health Organization and World Health Organization and the London School of Hygiene and Tropical Medicine to link adolescent sexual and reproductive health and fertility outcomes in Mali and Burkina Faso.

University of Ouagadougou, Ouagadougou, Burkina Faso: $23,690 for a study to be conducted by its Research and Training Unit in Demography and the Association pour la Promotion de la Jeunesse Africaine et de Developpement, on social factors influencing the sexual behavior of adolescents in the context of HIV/AIDS in Burkina Faso.

World Health Organization, Geneva, Switzerland: $330,000 for activities to be conducted in collaboration with UNICEF and working groups from six countries to establish which factors related to country-level programming for adolescent health are most useful to measure, how best to collect and use the data for monitoring and evaluation, and to conduct local/district level field tests.

World Health Organization, Geneva, Switzerland: $60,000 to develop a set of guidelines for researchers on how to plan and implement rigorous studies in community settings in developing countries on the prevalence of reproductive tract infections and psychosocial morbidity, as well as on their behavioral determinants and consequences for women's lives.

RELATED GRANTS (30 INT WITH PS)

Center for Reproductive Law and Policy, New York, New York: $50,000 toward the production costs of its Women of the World Francophone Africa project.

Chinese Academy of Preventive Medicine, Beijing, China: $15,000 in support of a workshop, "Intervention of HIV/AIDS in China."

International Union for the Scientific Study of Population, Liège, Belgium: $20,000 toward the cost of a seminar entitled, "Gender inequities and reproductive health challenges: priorities in an era of social transformation and globalization."

International Agency for Research on Cancer, Lyon, France: $50,000 for a project entitled, "Investigation of Chlamydia Trachomatis and Herpes Simplicis Virus 2 in the Epidemiology of Cervical Cancer" in four developing countries.

Ministry of Health, Ghana, Accra, Ghana: $100,000 for use by its Navrongo Health Research Centre for INDEPTH, a network of field sites in developing countries that collect demographic and health data on a continuous basis.

Ministry of Health, Ghana, Accra, Ghana: $99,930 for use by its Navrongo Health Research Centre for a conference in Dar es Salaam for researchers in Africa, Asia and Latin America conducting longitudinal field studies on health and population issues.

Women's Health Project, Johannesburg, South Africa: $75,000 for regional activities related to an international initiative entitled, "Operationizing Cane and Beijing: A training initiative in women's reproductive health."

Public Health Schools Without Walls, New York, New York: $136,590 to conduct a community-based study in collaboration with UNICEF and working groups from six countries to establish which factors related to country-level programming for adolescent health are most useful to measure, how best to collect and use the data for monitoring and evaluation, and to conduct local/district level field tests.

Public Health Schools Without Walls, New York, New York: $22,690 for a study to be conducted by its London regional office to expand component under the Public Health Schools Without Walls program.

Foundation-administered project: $125,000 for the annual meeting and other collaborative network activities among the Public Health Schools Without Walls sites.

Harari School of Public Health, Harar, Ethiopia: $275,000 for continued support of its Public Health Schools Without Walls program activities.

Liverpool School of Tropical Medicine, Liverpool, England: $50,000 to produce a new edition of "A Short Textbook of Preventive Medicine for the Tropics," which incorporates major developments in the public health field since 1990.

Makerere University, Kampala, Uganda: $100,000 for use by its Institute of Public Health for its Master's in Public Health program.

Makerere University, Kampala, Uganda: $226,260 to enable George Panyo to complete his doctoral studies at Johns Hopkins University as part of a capacity building component under the Public Health Schools Without Walls program.

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International Security

Makere University, Kampala, Uganda $58,103 for a workshop on the training of trainers in problem based learning methodology for the Public Health Schools Without Walls Initiative.

McMaster University, Hamilton, Canada $54,300 for a workshop on the training of trainers in problem based learning methodology in Kampala, Uganda.

University of Zimbabwe, Harare, Zimbabwe $225,232 for continued support of its Public Health Schools Without Walls Master's of Public Health training program.

University of Zimbabwe, Harare, Zimbabwe $35,105 in support of a field supervisors' workshop organized by its Public Health Schools Without Walls Master's of Public Health training program.

GRANTS IN AID

Foundation-administered project: $25,000 to facilitate the creation of an international network of longitudinal community-based health research sites.

Foundation-administered project: $40,000 for a Bellagio Team Residency meeting, "Understanding the society and health interface: New opportunities for improving health ."

Foundation-administered project: $6,000 for the translation from Swedish to English and distribution of "Gender Inequalities in Health."


Harvard University, Cambridge, Massachusetts $25,000 for use by its Medical School in support of a meeting, "Community-Based Approaches to the Treatment and Control of Multi-Drug Resistant Tuberculosis."

Mexican Health Foundation, Mexico City, Mexico $48,925 in support of a research project, "Health inequalities in Mexico: Study by socioeconomic strata at the national and household level."

National Foundation for the Centers for Disease Control and Prevention, Atlanta, Georgia $10,000 in support of an international conference on emerging infectious diseases.

National Institute of Health Services Management, Tokyo, Japan $50,000 in support of a research project, "Global health equity initiative: A case study of Japan."

World Health Organization, Geneva, Switzerland $48,885 for a meeting in Bellagio, Italy, on aging, health and development.

Harnessing the New Sciences

Harnessing the New Sciences 1998 appropriation of $2,300,000 in addition to previous funding for allocation by the officers to accelerate the discovery, development and distribution of vaccines, drugs and diagnostics against diseases of the poor and excluded.

Foundation-administered project: $325,000 to undertake an inventory of public/private collaborations for vaccine research and development.

World Health Organization, Geneva, Switzerland $575,000 to continue support for its Global Programme for Vaccines and Immunization.

HIV in the Developing World 1998 appropriation of $400,000 (all from PSI) in addition to previous funding for allocation by the officers to help developing countries improve their capabilities for reducing the spread of HIV and other sexually-transmitted diseases and mitigate the consequences of current HIV infection.

International AIDS Vaccine Initiative, New York, New York $1,250,000 for continued funding of its activities to ensure development of safe, effective, preventive HIV vaccines for use throughout the world.

Strengthening Global Leadership

World Health Organization, Geneva, Switzerland $2,500,000 to establish a Global Health Leadership Fund, which will bring exceptional people to the World Health Organization in order to accelerate the launch of the WHO's new direction and agenda.

OTHER GRANTS

National Epidemiology Board of Thailand and Cambodia.

National Epidemiology Board of Vietnam, Hanoi $10,475 in support of a Bellagio conference on critical oral history and the methodology's application to a study of the Vietnam War.

Carnegie Mellon University, Pittsburgh, Pennsylvania $100,000 in support of a study exploring the relationship between technology transfer and global security.

Center for the Study of Developing Societies, Delhi, India $33,250 in support of its electronic data collection and analysis through its Web page, Apropos.

China Defense Science and Technology Information Center, Beijing, China $5,000 toward the costs of creating a handbook in Chinese on arms control and disarmament to be edited and published by the Center's Arms Control and Disarmament Program.

Federation of American Scientists, Washington, D.C. $4,334 in support of a project on China’s nuclear-military modernization.


Human Rights Watch, New York, New York $15,000 in support of its work on antipersonnel land mines.

International Physicians for the Prevention of Nuclear War, Cambridge, Massachusetts $25,000 in support of a project on NATO and nuclear weapons.

Monterey Institute of International Studies, Monterey, California $50,000 in support of an assessment of the effectiveness of the United Nations Special Commission.

Nautilus of America, Berkeley, California $15,000 for the costs of connecting the New York Mission of the Democratic People's Republic of Korea to the Internet and training Mission staff in the use of Internet technologies.

Nautilus of America, Berkeley, California $20,000 in support of a project to explore the feasibility of creating an e-mail network focused on NATO nuclear-policy issues.

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Special International Initiatives

Oxford Research Group, Oxford, England $10,000 for a two-day meeting on the management of plutonium in England, and its implications for nuclear weapons proliferation around the world.

Regional Centre for Strategic Studies, Colombo, Sri Lanka $18,500 toward the costs of the Centre’s publication, “Regional Press Digest on Nuclear Issues in South Asia.”


Social Science Research Council, New York, New York $70,000 in support of a project to research a Case Study on the International Effort to Ban Land Mines.

Social Science Research Council, New York, New York $70,295 in support of a research project on Korean Peninsula security issues.


State of the World Forum, San Francisco, California $100,000 in support of an educational documentary on past and present nuclear dangers.

Stockholm International Peace Research Institute, Solna, Sweden $30,000 for a meeting of Iranian and American academics.

University of Georgia, Athens, Georgia $25,000 in support of the Center for International Trade and Security’s work on United States policy toward India.

Vietnam Veterans of America Foundation, Washington, D.C. $150,000 in support of its demining initiative.

Vietnam Veterans of America Foundation, Washington, D.C. $25,000 in support of a conference on seeking alternatives to land mines.

GRANTS IN AID

Aspen Institute, Washington, D.C. $200,000 toward the costs of its Women’s Agenda, a project to build a new constituency for international engagement.

Tufts University, Medford, Massachusetts $25,000 toward the costs of a workshop in preparation for a book on highly capitalized antisocial activities.


SYNERGY FUND

GRANTS IN AID

Graduate School and University Center, City University of New York, New York, New York $300,000 toward the cost of a project to produce a future oriented intellectual history of the United Nations in the world economy.

University of Washington, Seattle, Washington $40,000 toward the costs of an international conference on the challenges of the globalization of international relief and development nongovernmental organizations.

GLOBAL EQUITY AND ENGAGEMENT

Carnegie Endowment for International Peace, Washington, D.C. 1998 appropriation of $1,000,000 to advance understanding of globalization, especially the relationship between economic, political and technological changes and the inequality within and between countries.

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We have audited the accompanying statements of financial position of the Rockefeller Foundation as of December 31, 1998 and 1997, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Rockefeller Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Rockefeller Foundation at December 31, 1998 and 1997, and the changes in its net assets and its cash flows for the years then ended in conformity with generally accepted accounting principles.

New York, New York

February 18, 1999

Ernst & Young LLP
## Statements of Financial Position

### Assets

<table>
<thead>
<tr>
<th></th>
<th>1998</th>
<th>1997</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash, including restricted bond funds of $717 in 1998 and $1,255 in 1997 (Note 5)</td>
<td>$2,699</td>
<td>$2,817</td>
</tr>
<tr>
<td>Dividends, interest and other receivables</td>
<td>14,177</td>
<td>11,094</td>
</tr>
<tr>
<td>Investments (Notes 1 and 2)</td>
<td>3,273,745</td>
<td>3,058,469</td>
</tr>
<tr>
<td>Property, net of accumulated depreciation and amortization (Note 3)</td>
<td>26,231</td>
<td>27,340</td>
</tr>
<tr>
<td>Prepaid pension cost and other assets (Note 4)</td>
<td>40,800</td>
<td>32,243</td>
</tr>
<tr>
<td><strong>Total assets</strong></td>
<td><strong>$3,357,652</strong></td>
<td><strong>$3,131,963</strong></td>
</tr>
</tbody>
</table>

### Liabilities and Net Assets

#### Liabilities:

<table>
<thead>
<tr>
<th></th>
<th>1998</th>
<th>1997</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts payable and accrued liabilities</td>
<td>$9,963</td>
<td>$13,448</td>
</tr>
<tr>
<td>Appropriations by the trustees, approved for specific grantees/purposes but not yet paid (Note 6)</td>
<td>66,797</td>
<td>66,716</td>
</tr>
<tr>
<td>Deferred Federal excise tax (Note 7)</td>
<td>7,034</td>
<td>5,815</td>
</tr>
<tr>
<td>Accrued post-retirement benefits (Note 4)</td>
<td>17,876</td>
<td>17,803</td>
</tr>
<tr>
<td><strong>Total liabilities</strong></td>
<td><strong>129,008</strong></td>
<td><strong>131,668</strong></td>
</tr>
</tbody>
</table>

#### Commitments (Notes 1 and 2)

<table>
<thead>
<tr>
<th></th>
<th>1998</th>
<th>1997</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unrestricted and total net assets (including board-designated amounts of $251,647 in 1998 and $224,604 in 1997) (Note 6)</td>
<td>3,228,644</td>
<td>3,000,295</td>
</tr>
<tr>
<td><strong>Total liabilities and net assets</strong></td>
<td><strong>$3,357,652</strong></td>
<td><strong>$3,131,963</strong></td>
</tr>
</tbody>
</table>

See accompanying notes.
### Changes in Net Assets

**Investment return:**
- Net realized and unrealized gain on investments: $272,039
- Dividend and interest income: $115,187
- Other investment income: 1,121

**Investment expenses (including performance-based fees of $2,800 in 1997 (none in 1998)):**
- (12,942)

**Net investment return:**
375,405

**Other expenses:**
- Approved grants and program costs: 129,147
- General administrative expenses: 11,389
- Provision for Federal excise tax (Note 7):
  - Current: 5,301
  - Deferred: 1,219
- 147,056

**Increase in unrestricted net assets:**
228,349

**Unrestricted net assets, beginning of year:**
3,000,295

**Unrestricted net assets, end of year:**
$3,228,644

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See accompanying notes.
## Statements of Cash Flows

**Year ended December 31 (In Thousands)**

<table>
<thead>
<tr>
<th>Cash Flows from Operating Activities</th>
<th>1998</th>
<th>1997</th>
</tr>
</thead>
<tbody>
<tr>
<td>Increase in net assets</td>
<td>$ 228,349</td>
<td>$ 357,821</td>
</tr>
<tr>
<td>Adjustments to reconcile increase in net assets to net cash used in operating activities:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Depreciation and amortization</td>
<td>1,307</td>
<td>1,156</td>
</tr>
<tr>
<td>Net unrealized gain on investments</td>
<td>(56,267)</td>
<td>(39,861)</td>
</tr>
<tr>
<td>Net realized gain on Investments</td>
<td>(215,772)</td>
<td>(345,429)</td>
</tr>
<tr>
<td>Changes in operating assets and liabilities:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dividends, interest and other receivables</td>
<td>(3,083)</td>
<td>(2,402)</td>
</tr>
<tr>
<td>Prepaid pension cost and other assets</td>
<td>(8,557)</td>
<td>(5,724)</td>
</tr>
<tr>
<td>Accounts payable and accrued liabilities</td>
<td>(3,485)</td>
<td>3,783</td>
</tr>
<tr>
<td>Appropriations by the Trustees, approved for specific grantees/purposes but not yet paid</td>
<td>81</td>
<td>2,810</td>
</tr>
<tr>
<td>Deferred Federal excise tax</td>
<td>1,219</td>
<td>820</td>
</tr>
<tr>
<td>Accrued post-retirement benefits</td>
<td>73</td>
<td>257</td>
</tr>
<tr>
<td>Net cash used in operating activities</td>
<td>(284,484)</td>
<td>(384,590)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Cash Flows from Investing Activities</th>
<th>1998</th>
<th>1997</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net sales of investments</td>
<td>56,763</td>
<td>28,210</td>
</tr>
<tr>
<td>Property additions</td>
<td>(186)</td>
<td>(335)</td>
</tr>
<tr>
<td>Net cash provided by investing activities</td>
<td>56,577</td>
<td>27,875</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Repayments of bonds payable</td>
<td>(560)</td>
<td>(540)</td>
</tr>
<tr>
<td>Net cash used in financing activities</td>
<td>(560)</td>
<td>(540)</td>
</tr>
</tbody>
</table>

| Net (decrease) increase in cash, excluding cash held in investment portfolio | (118) | 556 |
|Cash, beginning of year              | 2,817      | 2,251      |
|Cash, end of year                     | $ 2,699    | $ 2,817    |

See accompanying notes.
1. Investments

The Foundation's significant investments are carried at fair value or contractual values which approximate fair value. Fair value is the amount at which a financial instrument could be exchanged in a current transaction between willing parties, other than in a forced sale or liquidation. The fair values of financial instruments are estimates based upon market conditions and perceived risks as of the statement of financial position date and require varying degrees of management judgment. Quoted market prices, when available, are used as the measure of fair value. In cases where quoted market prices are not available, fair values are based on appraisals, quotations of similarly traded instruments, pricing models or other estimates.

Investing activities are reported on a trade date basis. Realized gains and losses are calculated based on the specific identification method for both financial statement and tax return purposes.

The Foundation's investment portfolio consists of the following:

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Money market funds</td>
<td>$185,773</td>
<td>$185,771</td>
</tr>
<tr>
<td>Foreign currency—short-term</td>
<td></td>
<td>(2,912)</td>
</tr>
<tr>
<td>U.S. and other government obligations</td>
<td>370,130</td>
<td>374,816</td>
</tr>
<tr>
<td>Corporate obligations</td>
<td>451,169</td>
<td>438,779</td>
</tr>
<tr>
<td>Common stock (including REITs)</td>
<td>1,696,948</td>
<td>2,063,646</td>
</tr>
<tr>
<td>Other investments</td>
<td>25,322</td>
<td>21,109</td>
</tr>
<tr>
<td>Subtotal</td>
<td>2,729,342</td>
<td>3,081,209</td>
</tr>
<tr>
<td>Limited partnerships and similar interests:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Real estate</td>
<td>167,232</td>
<td>152,479</td>
</tr>
<tr>
<td>Private equity</td>
<td>144,525</td>
<td>145,460</td>
</tr>
<tr>
<td>Subtotal</td>
<td>311,757</td>
<td>297,939</td>
</tr>
<tr>
<td>Pending securities transactions—net</td>
<td>(105,406)</td>
<td>(105,403)</td>
</tr>
<tr>
<td>Total</td>
<td>$2,935,693</td>
<td>$3,273,746</td>
</tr>
</tbody>
</table>

As of December 31, 1998, under the terms of various venture capital, real estate limited partnership and other agreements, the Foundation has commitments to contribute approximately $163.8 million in additional capital over the next 10 years.

2. Derivative Financial Instruments

Derivatives are financial instruments whose value is based upon an underlying asset (e.g., treasury bond), index (e.g., S&P 500) or reference rate (e.g., LIBOR). Over-the-counter ("OTC") derivative products are privately negotiated contractual agreements that can be tailored to meet individual client needs and include futures, forwards and options. Exchange-traded derivative products are standardized contracts transacted through regulated exchanges and include futures and certain options contracts listed on an exchange. Derivatives are utilized extensively as highly © 2003 The Rockefeller Foundation
effective tools that enable users to adjust risk profiles, such as interest rate, currency or other market risks. Additionally, derivatives provide users with access to market risk management tools, which are often unavailable in traditional cash instruments.

As a result of its investing strategies, the Foundation is a party to various derivative financial instruments. Derivatives are used by the Foundation primarily to maintain asset mix or to hedge a portion of currency or interest rate exposure.

The Foundation invests in international securities and therefore is exposed to the effects of foreign exchange rate fluctuations predominantly in Japanese Yen, Swiss Francs, Australian Dollars, British Pounds, German Marks and French Francs. Foreign currency options and forward contracts can be used to hedge a portion of this currency risk. The Foundation also employs derivatives to maintain a desired asset mix. For example, S&P 500 index futures contracts are used in combination with cash invested in money market instruments to replicate an investment in an S&P 500 stock portfolio. This combination yields the same return, while often providing for savings on transaction costs.

The Foundation’s fixed income managers set a target for the duration of their portfolios and use duration analysis to estimate the degree of the portfolio’s sensitivity to interest rate changes. When the duration of a particular portfolio of securities is different from their target, Eurodollar or Treasury note futures or options may be used to adjust the portfolio’s duration.

Total margin requirements on deposit for futures contracts were $7 million at December 31, 1998 ($6.4 million at December 31, 1997).

The underlying contract amounts (“Notional Values”) and fair values of the Foundation’s derivative financial instruments at December 31, 1998 and 1997, are summarized below; all are held for other than trading purposes.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Equity contracts to manage desired asset mix (contracts primarily based on S&amp;P 500 Index):</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Futures contracts:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Liabilities</td>
<td>$ (21,485)</td>
<td>$ (59)</td>
<td>$ (51,158)</td>
<td>$ 10</td>
</tr>
<tr>
<td>Fixed income contracts to manage portfolio duration and interest rate risk:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Futures contracts:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets</td>
<td>122,428</td>
<td>(13)</td>
<td>86,799</td>
<td>232*</td>
</tr>
<tr>
<td>Liabilities</td>
<td>(11,104)</td>
<td>6</td>
<td>(10,459)</td>
<td>48</td>
</tr>
<tr>
<td>Put and call options:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets</td>
<td>12,500</td>
<td>40</td>
<td>39,200</td>
<td>65</td>
</tr>
<tr>
<td>Liabilities</td>
<td>(24,500)</td>
<td>(262)</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Foreign currency contracts to hedge foreign exchange exposure in nondollar securities:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Forward contracts:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets</td>
<td>65,848</td>
<td>(67)</td>
<td>86,154</td>
<td>(2,858)</td>
</tr>
<tr>
<td>Liabilities</td>
<td>(287,590)</td>
<td>(2,846)</td>
<td>(347,735)</td>
<td>12,283</td>
</tr>
<tr>
<td>Put and call options:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assets</td>
<td>60,206</td>
<td>3,216</td>
<td>45,825</td>
<td>1,384</td>
</tr>
</tbody>
</table>

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The Foundation records its derivative activities on a mark-to-market or fair value basis. Assets included in the preceding table represent the Foundation’s unrealized gains, net of unrealized losses. Similarly, liabilities represent net amounts owed to counterparties. Therefore, the fair value of assets/liabilities related to derivative contracts at December 31, 1998 and 1997 represents the Foundation’s net receivable/payable for derivative financial instruments. Market or fair value for the Foundation’s derivative financial instruments is generally determined by either quoted market prices or third-party pricing models. Pricing models utilize a series of market inputs to determine the present value of future cash flows, with adjustments, as required, for credit risk, liquidity risk and ongoing costs.

Off-balance sheet financial instruments involve elements of market risk and credit risk. Market risk represents potential loss from the decrease in the value of off-balance sheet financial instruments. Credit risk represents potential loss from possible nonperformance by obligors and counterparties on the terms of their contracts.

At December 31, 1998, there was approximately $6.1 million related to OTC contracts and $0.4 million related to exchange-traded contracts. Counterparties to the Foundation’s OTC derivative products are high credit quality institutions, which are primarily banks, securities firms and investment companies. Management does not anticipate that losses, if any, resulting from credit or market risk, would materially affect the Foundation’s financial position.

The Foundation’s two custodians maintain securities lending programs on behalf of the Foundation, and maintain collateral at all times in excess of the value of the securities on loan. Investment of this collateral is in accordance with specified guidelines. Interest earned on these transactions is included with other Investment income in the statements of activities. The market value of securities on loan at December 31, 1998 and 1997, was $226.6 million and $236.9 million, respectively.

### 3. Property

Expenditures for capital items currently in use are included in the property account and depreciated on a straight-line basis over the lives of the respective assets. At December 31, 1998 and 1997, the property account included the following:

<table>
<thead>
<tr>
<th></th>
<th>1998</th>
<th>1997</th>
</tr>
</thead>
<tbody>
<tr>
<td>Condominium interest</td>
<td></td>
<td></td>
</tr>
<tr>
<td>in 420 Fifth Avenue</td>
<td>$16,555</td>
<td>$16,555</td>
</tr>
<tr>
<td>Condominium improvements</td>
<td>11,162</td>
<td>11,162</td>
</tr>
<tr>
<td>Furniture, fixtures and equipment</td>
<td>3,284</td>
<td>4,049</td>
</tr>
<tr>
<td>Less accumulated depreciation and amortization</td>
<td>31,001</td>
<td>31,766</td>
</tr>
<tr>
<td>Property—net</td>
<td>4,770</td>
<td>4,426</td>
</tr>
</tbody>
</table>

### 4. Pensions and Other Post-Retirement Benefits

The Foundation maintains a defined benefit pension plan (the “Plan”) for regular salaried employees who are at least 21 years old and have completed one year of service or have attained the age of 40. The Plan provides retirement benefits based on years of service and final average pay, with benefits after retirement subject to increase under a cost-of-living augmentation formula. The Foundation makes annual contributions to the Plan, as needed, based on actuarial calculations, in amounts sufficient to meet the minimum funding requirements pursuant to the Employee Retirement Income Security Act of 1974. Plan assets are invested in a diversified portfolio of equities and fixed income securities.

In 1998, the Foundation replaced its 401(a) Trusteed Savings Plan with a 401(k) plan of the same name. Foundation contributions are made to equal 3 percent of compensation plus a dollar-for-dollar match of up to an additional 2 percent of compensation contributed on a pre-tax basis by staff up to the compensation cap of $160,000. Staff can make additional unmatched pre-tax contributions which, combined with staff contributions that are matched, cannot exceed the maximum pre-tax contribution limit of $10,000. All contributions are credited to the staff members’ accounts. The Foundation’s contributions to the plans were $508,000 in 1998 and $405,000 in 1997.

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The Foundation provides certain health care and life insurance benefits ("Other Benefits") for retired employees. Employees are eligible for these benefits when they meet the criteria for retirement under the Foundation's pension plan. The plans are noncontributory and there are no cost sharing features. The Foundation accrues the expected cost of providing post-retirement benefits over the years that employees render service and pays the cost of retiree health care benefits with excess pension plan assets under the provisions of Section 401(h) of the Internal Revenue Code.

<table>
<thead>
<tr>
<th>(In Thousands)</th>
<th>Pension Benefits</th>
<th>Other Benefits</th>
</tr>
</thead>
<tbody>
<tr>
<td>Benefit obligation at year-end</td>
<td>$51,661</td>
<td>$47,706</td>
</tr>
<tr>
<td>Fair value of plan assets at year-end</td>
<td>97,305</td>
<td>98,066</td>
</tr>
<tr>
<td>Funded status of the plan (underfunded)</td>
<td>45,644</td>
<td>45,360</td>
</tr>
<tr>
<td>Prepaid (accrued) benefit cost recognized in the statement of financial position</td>
<td>36,171</td>
<td>30,288</td>
</tr>
<tr>
<td>Weighted-average assumptions as of December 31:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Discount rate</td>
<td>6.75%</td>
<td>7.00%</td>
</tr>
<tr>
<td>Expected return on plan assets</td>
<td>9.00%</td>
<td>9.00%</td>
</tr>
<tr>
<td>Rate of compensation increase</td>
<td>5.00%</td>
<td>5.00%</td>
</tr>
</tbody>
</table>

For measurement purposes, an 8 percent annual rate of increase in the per capita cost of covered health care benefits was assumed for 2000. The rate was assumed to decrease gradually to 6 percent by 2002 and remain at that level thereafter.

<table>
<thead>
<tr>
<th>(In Thousands)</th>
<th>Pension Benefits</th>
<th>Other Benefits</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net periodic benefit cost (credit)</td>
<td>$5,883</td>
<td>$5,299</td>
</tr>
<tr>
<td>Benefits paid</td>
<td>2,669</td>
<td>2,665</td>
</tr>
</tbody>
</table>

5. Bonds Payable

During fiscal 1993, the Foundation issued $20,445,000 in tax-exempt term bonds and $8,815,000 in tax-exempt serial bonds to fund the acquisition, construction and furnishing of a new office facility (the "Facility"). The bond proceeds and related investment income earned were held by a trustee (the "Trustee") and have been disbursed at the direction of the Foundation to fund allowable Facility-related costs.

The bonds are rated Aaa by Moody's and AAA by Standard & Poor's, and are backed by the general assets of the Foundation. In addition, the bonds are secured by the Foundation's ownership interest in the Facility, a leasehold interest in the Facility, insurance proceeds with respect to the Facility and certain amounts held by the Trustee. The nominal interest rates on the serial bonds range from 4.1 percent to 5.1 percent. The nominal interest rates attributable to the term bonds are 5.3 percent and 5.4 percent.

The serial bonds mature in various amounts, ranging from $585,000 to $880,000 per year, through 2008. The term bonds are due in 2013 ($5,140,000) and 2023 ($15,305,000). Bond maturities are as follows:

<table>
<thead>
<tr>
<th>Fiscal year ending December 31:</th>
<th>(In Thousands)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1999</td>
<td>$585</td>
</tr>
<tr>
<td>2000</td>
<td>610</td>
</tr>
<tr>
<td>2001</td>
<td>635</td>
</tr>
<tr>
<td>2002</td>
<td>665</td>
</tr>
<tr>
<td>2003</td>
<td>695</td>
</tr>
<tr>
<td>Thereafter</td>
<td>24,450</td>
</tr>
<tr>
<td></td>
<td>$27,640</td>
</tr>
</tbody>
</table>

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6. Appropriations and Expenditures

Appropriations by the trustees are considered to be obligations when grants are approved (awarded) for specific grantees; appropriations not released for specific grantees and the appropriation for the budget for the next year are considered as board-designated net assets. The majority of approved grants are scheduled for payment within one year. Administrative costs, including investment expenses and excise taxes, account for approximately 19 percent (23 percent in 1997) of the Foundation's total expenses, and are charged to operations when incurred.

Appropriations and expenditures for the year are summarized as follows:

<table>
<thead>
<tr>
<th></th>
<th>Appropriated for Specific Grantees/Purposes</th>
<th>Appropriated for Allocation and Next Year's Budget</th>
<th>Total Appropriated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Balance, January 1, 1998</td>
<td>$66,716</td>
<td>$224,604</td>
<td>$291,320</td>
</tr>
<tr>
<td>Approved grants and program and administrative costs</td>
<td>145,899</td>
<td>(147,934)</td>
<td>(2,035)</td>
</tr>
<tr>
<td>Lapses and refunds</td>
<td>(177)</td>
<td>(23)</td>
<td>(200)</td>
</tr>
<tr>
<td>Expenditures for grants and operations</td>
<td>(145,641)</td>
<td>-</td>
<td>(145,641)</td>
</tr>
<tr>
<td>1999 budget</td>
<td></td>
<td>175,000</td>
<td>175,000</td>
</tr>
<tr>
<td>Balance, December 31, 1998</td>
<td>$66,797</td>
<td>$251,647</td>
<td>$318,444</td>
</tr>
</tbody>
</table>

7. Federal Excise Tax

The Foundation is a philanthropic organization chartered in 1913 “to promote the well-being of mankind throughout the world.” The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income tax. Because the Foundation is classified as a private foundation, it is subject to a Federal excise tax of 1 percent or 2 percent on investment income (its principal source of revenue) less investment expenses, and on net realized taxable gains on securities transactions. In accordance with Section 4940(e) of the Internal Revenue Code, for the years ended December 31, 1998 and 1997, the Foundation did not meet the specified distribution requirements and, therefore, was subject to a Federal excise tax of 2 percent.

Deferred Federal excise tax arises from temporary differences between financial and tax reporting related to investment income and the difference between the cost basis and market value of marketable securities.
8. Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Management believes that the estimates utilized in preparing its financial statements are reasonable and prudent. Actual results could differ from these estimates.

9. Year 2000 (Unaudited)

Like other companies, financial and business organizations, and individuals around the world, the Foundation could be adversely affected if the computer systems it uses and those used by the Foundation’s investment managers, custodians and other major service providers do not properly process and calculate date-related information and data from and after January 1, 2000. This is commonly known as the “Year 2000 Issue.”

The Foundation has assessed its computer systems and is in the process of testing its ability to interface with the systems of its primary custodian and other major service providers. The Foundation is taking steps to address the Year 2000 Issue with respect to the computer systems it uses and is continuing to work with its custodians and investment managers and other service providers. Both of the Foundation’s custodians are leading worldwide institutions and have dedicated significant staff and monetary resources to ensuring compliance. At this time, however, there can be no assurance that all Year 2000 issues will be satisfactorily resolved. The inability of the Foundation or its third party service providers to timely complete all necessary procedures to address the Year 2000 Issue could have a material adverse effect on the Foundation’s operations. Management will continue to monitor the status of and its exposure to this issue.

Although specific costs incurred by the Foundation in achieving Year 2000 compliance have not been isolated, the total cost of making all currently planned and in-process systems alterations is estimated at approximately $2 million. This includes $1 million for the purchase of new hardware and $1 million for software, professional services and staff costs that will be expensed as they are incurred. As of December 31, 1998, the Foundation has incurred approximately $800,000 for the development and installation of new software and hardware, which includes assessing and monitoring the Year 2000 Issue. The projects are all estimated to be completed not later than August 31, 1999, which is prior to any anticipated impact on the operating systems. The costs of the projects and the date on which the Foundation believes it will complete the systems and infrastructure replacements, including the Year 2000 modifications, are based on management’s best estimates, which were derived utilizing numerous assumptions of future events, including the continued availability of certain resources and other factors. However, there can be no guarantee that these estimates will be achieved and actual results could differ materially from those anticipated.

The Foundation is in the process of establishing a contingency plan to address recovery from unavoidable Year 2000 problems. Such plan is intended to be completed by September 1999.
Trustees and Staff
As of December 31, 1998

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New York, New York

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Self-Employed Women's Association
Bhado, Ahmedabad, India

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Westport, Connecticut

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Emory University
Atlanta, Georgia

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The Rockefeller Foundation
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Warner Bros., Warner Music Group
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Bankers Trust Company
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Portland, Maine

Alvaro Umana
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Gerencia de Recursos Naturales
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Assistant Director

Jacqueline Khor
Senior Program Adviser

Mary Dirac
Administrative Associate

Communications Office

Denise Gray-Felder
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Karen Polk
Associate Director

George Soule
Associate Director

Brian Byrd
Senior Communications Associate

Susan K. Muir
Senior Production Assistant

Karen McAndrew
Executive Secretary

Office of the Corporate Secretary

Lynda Mullen
Corporate Secretary

Cheryl A. Ancrum
Administrative Associate
1998-1999 Warren Weaver Fellows

Aubrey M. Fox
Equal Opportunity
Susan Kaaria
Agricultural Sciences
Bernice Lee
International Security
M. Giovanna Mexi
Population Sciences
Ram Manikkalingam
VP Office/International Initiatives
Chao Peng
Global Environment

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Senior Scientist (Agricultural Sciences)
Pilar Palacia
Office Manager
Francisco Guevara
Program Assistant
Elaina Juarez
Librarian
Javier Garcia
Operations Assistant

NAIROBI, KENYA OFFICE
Cheikh Mbacke
Foundation Representative
John K. Lynam
Senior Scientist (Agricultural Sciences)
Florence Mulu-Muzimike
Senior Scientist (Health Sciences?)
Katherine Namuddu
Senior Scientist (African Initiatives?)
Agnes Ouattara
Office Manager

Vahnya Amatyoko
Senior Program Assistant (African Initiatives)
Wanjiku Kiragwa
Senior Program Assistant (Agricultural Sciences)
Arne Aiyia
Program Assistant (African Initiatives)
Rosemary Njoroge
Program Assistant (African Initiatives)
Nicholas Mutiso
Acting Finance Manager
Mary Mukungu
Librarian
Matie Jeanette Song'e
Executive Assistant
Benson Oonyo
Research Assistant
Lynette Awich
Program Secretary

General Office Staff
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Office Manager

General Office Staff
Suchart Kornoi
Srisuda Prachakul
Tongrern Wonechime

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Senior Scientist (Agricultural Sciences)
Bharati Patel
Senior Scientist
Theima Maia
Office Manager

General Office Staff
Lyton Kamowa
Leonard Selu
Benjamin Musaku

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Enrica Giglioni
Bookkeeper
Nadia Giglioni
Conference Assistant
Elena Organia
Receptionist/Resident Assistant
Facility Staff
Dina Caola
Audrino Catanino
Giovanni Catania
Rosaria Cenzo
Giovanni Cernagi
Paola Ferradini
Cesare Ferrari
Domenico Gilardoni
Marina Gilardoni
Simona Gilardoni
Umbertina Gilardoni
Vittorio Gilardoni
Laura Maranesi

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